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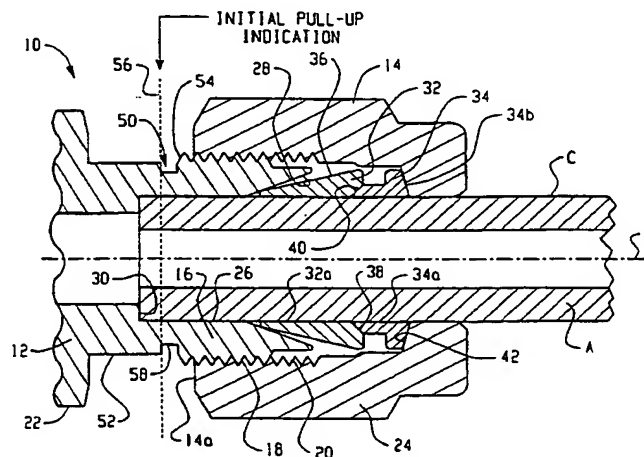
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(54) Title: **TUBE FITTING WITH INDICATING MEANS**

(57) Abstract: An intrinsic gauging device for a ferrule type tube fitting (10) of the type having a coupling nut (14), a coupling body (12) and at least one ferrule (32), includes a precisely formed marking on the coupling body that is visually perceptible when the coupling is in a finger tight position, and that is covered or visually imperceptible or otherwise has a predetermined relationship with the coupling nut when the fitting has been initially pulled-up. In a preferred form, the marking is realized as a precision groove (50) or recess machined into a surface of the coupling body. The groove can be made more easily visually perceptible such as by roughening or knurling the surface, or coloring the surface, for example. The groove defines an edge (50b) at a precise position that corresponds to a predetermined axial displacement of the nut relative to the body for initial pull-up. The marking may also be formed with a precise dimension such as an axial length to provide a second edge that corresponds to a predetermined axial displacement of the nut relative to the body beyond initial pull-up for fitting assemblies that are remade.



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## TUBE FITTING WITH INDICATING MEANS

**Related Applications**

This application is related to and claims the benefit of the following United States patent applications: continuation-in-part of United States patent application serial number 09/395,386 (pending) filed on September 13, 1999 for INTRINSIC  
10 GAUGING FOR TUBE FITTINGS; and United States patent application serial number 60/159,965 (pending) filed on October 18, 1999 for INTRINSIC GAUGING FOR TUBE FITTINGS, the entire disclosures of which are fully incorporated herein by reference.

15

**Technical Field of the Invention**

The present invention relates to tube fittings of the type that use one or more ferrules for gripping a tube end. More particularly, the invention relates to apparatus and methods for visual verification that the fitting has been properly pulled up.

**Background of the Invention**

20

Ferrule-type tube fittings are well known in the art. A two ferrule fitting that has been highly successful for over 30 years is a sequential gripping arrangement invented by Lennon, et al., United States Patent No. 3,103,373, the entire disclosure of which is fully incorporated herein by reference.

In a typical ferrule-type tube fitting assembly there is a coupling arrangement  
25 that includes a coupling body and a coupling nut that is threadably engaged with threads on one end of the coupling body. The coupling body includes a torquing flange, typically in the form of a hex shaped flange. The coupling body at the other

5 end may be joined to or integral with another body, flow control device and so on. The coupling body includes an axially tapered opening or camming mouth and a shoulder or stop axially spaced from the tapered opening. Two gripping components or ferrules, including a rear ferrule and a front ferrule, are positioned within the coupling nut and are interposed between the coupling body and the coupling nut. The tapered front end of the front ferrule initially engages the camming mouth of the  
10 coupling body and a tapered front end of the rear ferrule initially engages a camming surface at the back end of the front ferrule. The coupling nut has a shoulder that drivingly engages a back wall of the rear ferrule. A tube end is axially inserted into the fitting until the tube end abuts the inner shoulder stop of the coupling body. Upon  
15 relative rotation of the coupling body and nut which drives the coupling components axially towards each other, the tapered ferrules are axially pulled together, commonly referred to in the art as being "pulled-up", and radially displaced inwardly to cause a sequential inward gripping of the tube. The ferrules tightly grip the tube wall due to the swaging action.

20 Typically, a tube fitting assembly such as described above, is shipped to a customer in an assembled condition with the ferrules installed in the coupling nut and the coupling nut threaded onto the coupling body to a finger tight condition. The assembler then slips a tube end axially into the fitting until it bottoms or abuts the inner stop shoulder on the coupling body. With the fitting in an initial finger tight  
25 condition, the assembler, using a wrench or spanner or the like for example, then imparts a relative rotation between the coupling body and the coupling nut for a predetermined rotation to complete the installation. For smaller tube fittings, for

5 example tube fittings used on one-quarter (1/4) to one (1) inch tubing, there is typically a relative rotation of one and a quarter "turns past finger tight" ("TPFT") position to achieve proper initial pull-up.

The number of turns to properly pull-up a fitting assembly is determined by a number of factors including the thread pitch and the proper axial displacement of the  
10 coupling nut relative to the coupling body to insure that the ferrules are properly swaged onto the tube wall. Although the various parts of the fitting are machined with high precision and assembled to a finger tight position, it still can be difficult to keep track of the number of rotations and fractions thereof to pull-up the fitting. Many times the fitting is being installed in an area with limited clearance for the  
15 wrench, thus necessitating a number of separate wrenching operations for one complete rotation.

A successful and commonly used solution to verifying proper pull-up is a NO-GO gauge as described in United States Patent Number 3,287,813 to Lennon et al., the entire disclosure of which is fully incorporated herein by reference. This gauge  
20 effectively verifies that the gap or relative spacing between the coupling body flange and the forward end of the coupling nut is of the correct dimension. The assembler first imparts the required relative rotation between the coupling nut and body. If the gauge cannot fit between the body and the coupling nut, the assembler knows that the nut has at least been properly pulled-up.

25 Although the gauging tool described above continues to enjoy excellent commercial success and use, there are applications where the use of the gauge may be an inconvenience. Of particular interest is the need for a gauge that does not

5 necessarily require a separate gauge tool, but rather could be intrinsically part of the fitting.

Additionally, the NO-GO gauge is used principally for initial pull-up of the fitting assembly. In some cases, a coupling may be disassembled by unthreading the coupling nut from the body for repair or replacement of the device joined to the tube  
10 end. During remake of the fitting, typically the relative rotation between the coupling nut and body requires fractionally more turns than is required for initial pull-up, or in other words the axial displacement of the coupling nut towards the coupling body is slightly greater each pull-up compared to the previous pull-up operation. A manufacturer may determine a recommended number of remakes or axial  
15 displacement of the coupling nut with respect to the coupling body based on a predetermined amount or axial displacement beyond the initial pull-up position. The design factors taken into consideration may include the pressure and temperature ratings for the fitting, the materials used in the tubing and the fitting components and so on.

20 The actual number of remakes a given fitting assembly can undergo and still exhibit acceptable performance characteristics may be significantly higher than a recommended distance of additional axial displacement past the initial pull-up position, but it may still be desirable in some cases to be able to provide an indication to the assembler that the fitting assembly has been remade a number of times so as to  
25 have advanced the coupling nut and body together a predetermined axial displacement beyond initial pull-up. Since the hand gauge discussed above necessarily has a fixed

5 axial dimension, it is not useful for verifying additional axial displacement for remade fittings.

It is therefore an objective of the present invention to provide apparatus and method for verifying proper pull-up of a ferrule-type tube fitting for initial pull-up. It is another objective to provide apparatus and method that verifies proper initial pull-  
10 up and also can be used to provide an indication that the fitting has been remade a number of times so as to indicate a predetermined axial displacement of the coupling nut relative to the coupling body.

### **Summary of the Invention**

To the accomplishment of the aforementioned and other objectives, and in  
15 accordance with one embodiment of the invention, an intrinsic gauging device includes a precisely formed and positioned marking on the coupling body that is visually perceptible when the coupling is in a finger tight position, and that is covered or visually imperceptible when the fitting has been properly pulled-up. In a preferred form, the marking is realized as a precision groove or recess machined into a surface  
20 of the coupling body. The groove can be made more visually perceptible such as by roughening or knurling the surface, or coloring the surface, for example. Use of the intrinsic gauge thus avoids the need to count or remember the number of relative rotations and fractional rotations imparted to the coupling nut and body. The position of the marking corresponds to a predetermined axial displacement of the coupling nut  
25 relative to the coupling body for initial pull-up.

The invention further contemplates the methods embodied in the use of such an intrinsic gauging device, as well as in another embodiment, a method for gauging

5 proper pull-up of a coupling nut on a coupling body in a ferrule type fitting, the method including the steps of positioning a visually perceptible marking on the coupling body; forming the marking with an axial length that corresponds to a predetermined axial displacement of the coupling nut relative to the coupling body; assembling the fitting to a finger tight condition; and tightening the coupling nut onto  
10 the coupling body until the marking is just hidden from view by the nut.

In accordance with another aspect of the invention, the marking may be formed with a predetermined axial dimension and precisely located on the coupling body so that upon proper initial pull-up the coupling nut aligns with a leading edge of the marking; and upon a predetermined additional axial displacement of the coupling  
15 nut relative to the coupling body during subsequent remakes, the nut covers the marking or aligns with a trailing edge of the marking.

The present invention also contemplates apparatus and methods for verifying initial pull-up and remake positions by providing a device installed on the fitting or formed integral therewith that changes shape or is altered in a visually perceptible  
20 way when a fitting has been initially pulled-up. In another aspect of the invention, a device is provided that provides visual indication of the initial pull-up position and additional axial displacement of the nut upon remakes of the fitting wherein the device is installed on the fitting or formed integral therewith and changes shape or is altered in a visually perceptible way when a fitting has been initially pulled-up and  
25 remade so as to cause additional axial displacement of the nut onto the body. In a further aspect of the invention, a visually perceptible marking is applied to the tubing to provide a visual indication that the nut has been installed to the initial pull-up



5 position and to a position that is axially advanced a predetermined axial displacement beyond the initial pull-up position.

These and other aspects and advantages of the present invention will be apparent to anyone skilled in the art from the following description of the preferred embodiments in view of the accompanying drawings.

10

### **Brief Description of the Drawings**

The invention may take physical form in certain parts and arrangements of parts, preferred embodiments and a method of which will be described in detail in this specification and illustrated in the accompanying drawings which form a part hereof,  
15 and wherein:

Fig. 1 is a ferrule-type fitting that incorporates an intrinsic gauge feature in accordance with the invention, the fitting being shown in longitudinal cross-section in a finger tight position;

Fig. 2 is the fitting of Fig. 1 shown in a proper pulled-up condition; and

20 Fig. 3 illustrates an alternative embodiment in which a marking is in the form of a raised portion;

Fig. 4 illustrates an alternative embodiment in which a raised portion defines leading and trailing edges;

Fig. 5 illustrates a marking that is spaced from the body threads;

25 Fig. 6 illustrates a marking in the form of two raised portions;

Fig. 7 illustrates a raised marking that is in the form of a step;

5            Fig. 8 illustrates a marking that is a cylindrical portion axially adjacent a tapered body neck;

            Fig. 9 illustrates a marking formed as a tapered portion of the body neck;

            Fig. 10 illustrates use of threads as a marking;

            Figs 11A-H illustrate various marking groove profiles;

10           Fig. 12 illustrates a marking in the form of a band;

            Fig. 13 illustrates a marking in the form of a band that has a raised lip or edge;

            Fig. 14 illustrates a marking in the form of a band having two raised portions;

            Fig. 15 illustrates a marking in the form of two portions that overlap in a predetermined manner;

15           Fig. 16 illustrates a marking in the form of overlapping bands in which a larger diameter band slides over the other;

            Fig. 17 illustrates the use of a viewing window to observe the marking;

            Fig. 18 illustrates a band that changes shape as a visual indication marking;

            Figs. 19, 19A and 19B illustrate a marking in the form of collapsible tabs;

20           Fig. 20 illustrates an alternative embodiment that uses a modified nut;

            Figs. 21A-D illustrate an embodiment that uses a collapsible ring;

            Fig. 22 illustrates a ring that uses collapsible tab extensions;

            Figs 23A and 23B illustrate an embodiment in which the marking is formed on the tubing;

25           Fig. 24 illustrates a marking tool for the embodiment of Fig. 23;

            Figs. 25 and 26 illustrate embodiments that use rotational position indicators;

5 Figs. 27 and 28 illustrate use of the invention with a traditional T style fitting body; and

Fig. 29 illustrates another embodiment using laser etching.

### **Detailed Description of the Preferred Embodiments**

10 With reference to Fig. 1, the invention will be described herein in an exemplary manner as being incorporated into a standard two ferrule style tube fitting assembly. However, this description is intended to be exemplary in nature to explain and illustrate the concepts and advantages of the present invention, and therefore should not be construed in a limiting sense. Persons of mere ordinary skill in the art  
15 will readily understand and appreciate that the invention can be put to practice in a wide variety of tube fittings and couplings that are relatively rotated to make up the assembly. For example, and not for purposes of limitation, the present invention can conveniently be used in a single ferrule tube fitting or with any two part coupling in which proper assembly is determined in part by relative rotation between two  
20 threaded parts.

The standard fitting assembly 10 includes a coupling body 12 and a coupling nut 14. The coupling body 12 includes a first end 16 that is externally threaded as at 18. The coupling nut is internally threaded as at 20 and the nut 14 can be easily installed on the coupling body 12 by relative rotation between the body 12 and the nut  
25 14. The term "relative" rotation is used herein because although a typical assembly procedure for the fitting 10 involves restraining the body 12 against rotation and turning the nut 14 with a wrench, such a procedure is not required to practice the

5 present invention. It is contemplated that the body 12 could be rotated while holding the nut 14 stationary, or alternatively, rotational movement could be applied to both the nut and body to rotate them in opposite directions at the same time to make up the fitting 10. The body and nut are typically provided with hex shaped portions 22, 24 respectively to assist in the use of a wrench or other tool to impart the relative  
10 rotation.

The threaded end 16 of the body 12 has a central bore or passageway 26 that extends longitudinally all the way through the coupling body 12. This bore 26 is formed with a tapered camming mouth 28 at the forward end of the coupling body 12. The coupling body 12 includes an inner shoulder 30 such as a counterbore that is  
15 axially spaced from the camming mouth 28.

The fitting assembly 10 further includes a front ferrule 32 and a rear ferrule 34. The front ferrule 32 has a tapered nose portion 36 that engages the tapered camming mouth 28 of the coupling body 12. The front ferrule 32 also is formed with a tapered camming mouth 38 at the back end thereof. The rear ferrule 34 includes a  
20 tapered nose portion 40 that engages the tapered camming mouth 38 of the front ferrule 32. All of the fitting 10 components are commercially available from Swagelok Company, Solon, Ohio.

The fitting assembly 10 is illustrated in Fig. 1 in the "finger tight position" or FTP as the fitting would typically be received from the manufacturer. The drawing  
25 also illustrates the fitting 10 after a tube end A has been inserted into the fitting 10. Since the coupling components 12, 14 are only finger tight, the tube end A easily slides into the fitting assembly 10 until the tube end wall B abuts the inner shoulder

5 30 of the coupling body 12. The front and rear ferrules 32, 34 both have generally cylindrical bores 32a and 34a respectively that are closely received about the tubing outer wall C.

It is important to note that the present invention is not limited to the ferrule geometry illustrated in the drawings. Many different ferrule configurations can be  
10 used with the present invention.

The coupling nut 14 includes an inwardly extending shoulder 42 that serves as a driving surface that engages the back wall 34b of the rear ferrule 34. As the coupling nut 14 is tightened down onto the coupling body 12, the rear ferrule 34 is driven forward into the camming mouth at the back end of the front ferrule 32 and a  
15 sequential gripping operation takes place as described in the above referenced patents to cause inward radial displacement of the ferrules 32, 34 to swage them into a strong and fluid tight grip on the tube wall C (see Fig. 2).

The relative axial displacement of the coupling nut 14 onto the coupling body 12 is related to the number of complete and fractional relative rotations between the  
20 body 12 and the nut 14. The nut 14 is advanced far enough relative to the body 12 to impart a strong gripping action by the ferrules 32, 34 on the tube wall C. Accordingly, a typical assembly instruction would indicate the number of full and fractional turns to impart, for example, to the coupling nut 14 while holding the coupling body 12 stationary...

25 As technology advances in the materials and design of the fitting 10 components, the torque needed to make up the fitting 10 tends to decrease. For example, this decrease in make-up torque can result from improvements that reduce

5 galling between the nut 14 and the rear ferrule 34. Whatever the reason may be, reduced torque makes it easier for an assembler to inadvertently advance the nut 14 axially beyond the point sufficient for initial pull-up of the fitting 10. Over advancing the nut 14 relative to the body 12 beyond this point is typically accommodated by the fitting design due to the desirability in some applications to permit remakes.

10 However, such over advancing of the nut 14 onto the body 12 during initial pull-up reduces the additional relative axial displacement available for subsequent remakes, which thus in turn reduces the available number of remakes.

In accordance with a feature of the invention, an intrinsic gauging device is provided on the coupling body 12. By "intrinsic gauging" is simply meant that the

15 gauge device and/or function or methodology is inherently embodied in or assembled to or installed on one or more of the fitting components and therefore can be used without any external or separate gauging tool. In the preferred but not required form, the intrinsic gauge is realized as a precisely positioned visually perceptible marking on the coupling body 12. In this exemplary embodiment, the marking is a precision

20 machined groove or recess 50 formed in the coupling body neck 52. More preferably, the groove 50 is positioned adjacent to or near the end thread 54 of the threaded body 12. The groove 50 has a leading edge 50a and a trailing edge 50b. The groove 50 is visually perceptible to an assembler when the fitting is in the FTP as illustrated in Fig. 1. The groove 50 is preferably but again not necessarily circumferential.

25 Alternatively, for example, the groove 50 could be formed in arcuate sections about the body neck 52. Virtually any geometric shape could be machined into the body 12 to form the marking 50 so long as it has a well defined and visually perceptible edge

5 or edges or other suitable demarcation, as will be apparent from the following discussion.

The axial position 56 of the trailing edge 50b of the groove 50 is precisely machined so that when the fitting 10 has been properly and initially pulled-up, as illustrated in Fig. 2, the coupling nut forward end 14a substantially aligns with the edge 50b and the nut 14 substantially obstructs visual perception of the groove 50, particularly from a lateral viewing angle relative to the longitudinal axis X of the fitting 10. The precise location and positioning of the trailing edge 50b is used as a marker so that the assembler can pull-up the fitting 10 by advancing the coupling nut 14 relative to the coupling body 12 to the proper initial position, referred to herein as the “predetermined initial pull-up”. This predetermined initial pull-up condition corresponds to a predetermined initial axial position of the nut 14 relative to the body 12 for initial pull-up to swage the ferrules 32, 34 onto the tube wall C. To aid in the visual perception of the groove 50 before the assembly is in the pulled-up condition, the groove 50 can be colored as by applying a paint or other coloring material to the visually perceptible surface, such as the bottom groove surface 58. For example, an adhesive strip with a suitable color can be placed in the groove 50. Still further, the groove surface 58 could be knurled or otherwise changed in texture or appearance to be easily seen to the human eye. Further still, a snap ring may be installed into the groove 50.

25 Still as another alternative to forming a groove 50, a machined band could be formed in or on the neck 52, such as again by knurling, stippling, roughening and so forth.

5           For the initial pull-up gauging aspect of the invention, the use of a groove improves visual perception of the edge 50b. Those skilled in the art will readily appreciate that the marking 50 may be realized in the form of any suitable edge, line or other demarcation either raised or recessed in the coupling body 12 or applied to the coupling body 12 and that is visually perceptible during pull-up to indicate to the  
10 assembler that the predetermined initial pull-up position has been reached and therefore further advancing of the nut 14 is no longer required. Of course, the assembler can still rotate the nut 14 past this position, but in any case the intrinsic gauge has provided the indication that the nut 14 has been pulled up to at least the initial pull-up position.

15           As an exemplary assembly procedure and method for intrinsic gauging, the assembler installs the tube end A into the fitting. Using a wrench or other suitable tool the coupling body 12 is held stationary and the coupling nut 14 rotated until the marking 50 is just obstructed from view. For embodiments that use a simpler demarcation for the marking 50, the nut 14 is rotated until the edge 14a of the nut  
20 substantially aligns with the marking 50. Therefore, with the present invention there is no need to count or keep track of the number of complete and fractional relative rotations between the body 12 and the nut 14.

          For fittings 10 that will be made and remade, the leading edge 50a can also be precisely axially positioned so that in the FTP the leading edge 50a will be axially  
25 spaced from the forward end 14a of the nut 14. The leading edge 50a is positioned such that when the proper pull-up has been effected, the forward end 14a of the nut 14 aligns with the leading edge 50a. During subsequent remakes, the nut 14 axially



5 advances a little further each time to achieve proper pull up. After a predetermined number of remakes the forward end 14a of the nut 14 aligns with the trailing edge 50b of the marking 50, thereby substantially covering the marking 50, and the assembler knows that the fitting 10 has been remade to the point where the coupling nut 14 has been axially advanced a predetermined amount relative to the coupling body 12 past  
10 the initial pull-up condition. The axial length between the leading and trailing edges 50a, 50b of the marking 50 can be determined from design analysis and empirical data so that the marking 50 becomes covered by the nut 14 after a number of remakes that corresponds to a predetermined advance of the coupling nut 14 relative to the coupling body 12 beyond the predetermined initial pull-up position. Although the  
15 precise distance Y can be determined and machined into the body 12 if required, precise location of the trailing edge is not necessarily required to practice the present invention. This is because in some applications it may only be required to give a close approximation of the desired additional axial displacement beyond the initial pull-up position.

20 Regardless of its form, the marking 50 can be precisely machined based on the machining profile of the body 12 so that the marking provides an accurate and permanent visually perceptible indicia for determining proper pull-up of the fitting 10.

With reference to the remaining figures, we illustrate a variety of different embodiments of the invention. These variations are intended to be exemplary in  
25 nature and should not be construed in a limiting sense as to the scope of the invention. Where like parts are used for the fitting 10, such as the body, nut and ferrules, like

5 reference numerals are used for the corresponding parts described hereinabove with respect to the embodiment of Figs. 1 and 2.

In Fig. 3 then, the marking 50 is realized in the form of a raised portion 100 of the neck 52 on the body 12. The raised portion 100 may be colored or otherwise treated to be visually perceptible to the fitting assembler. The raised portion 100  
10 defines an edge 102. The nut 14 (omitted in Fig. 3) is installed onto the body 112 until the nut back end 14a covers the raised portion 100. In this condition the nut 14 will at least be aligned with or cover the edge 102. This edge 102 then corresponds to the desired initial pull-up position of the nut, in a manner similar to the trailing edge 56 in Fig. 1. In Fig. 3, the raised portion 100 is formed adjacent the back end of the  
15 body threads 18; however, the precise position of the marking 50 will be determined for each size fitting as previously described hereinabove.

In the embodiment of Fig. 4, the raised portion 100 defines a leading edge 104 and the edge 102 is a trailing edge relative to the leading edge 104. The leading edge 104 is positioned so as to correspond to an initial proper pull-up position, and the  
20 trailing edge 102 is positioned and used to indicate to the assembler during subsequent remakes of the fitting that the nut has axially advanced a predetermined distance "Y" past the initial pull-up position. When the nut 14 aligns with the trailing edge 102, the assembler knows that the fitting 10 has been remade the number of times that corresponds to the predetermined axial distance of movement of the nut  
25 past the initial pull-up position.

It is important to note that in the various embodiments herein, although a marking 50 may be used to indicated the target initial pull-up position, precise

5 alignment of the nut 14 with the marking is not required. The assembler, for example, may go past the initial pull-up position marking, either intentionally or inadvertently. Thus, the present invention contemplates that the leading edge of the marking, in the example of Fig. 1 for example, provides a visual indication to the assembler that if the nut 14 is installed at least to that position, then the initial pull-up position has been  
10 achieved. Therefore, the distance "Y" illustrated herein is not necessarily limited to the distance between two edges or demarcations of the marking 50, but more descriptively should be understood to be the distance of additional axial displacement of the nut 14 during remakes from the initial pull-up position, whether the initial pull-up position corresponds to precise alignment of the nut 14 with the target initial pull-  
15 up position 56 or to an initial pull-up position somewhat axially beyond the target position. Thus, for example, in Fig. 4, the axial length of the raised portion 100 provides a visual indication to the assembler that for initial pull-up the nut front end 14a should be advanced at least to the leading edge 104, but could also be advanced to a position within the axial length of the portion 100. The trailing edge 102 of the  
20 portion 100 thus being used as a visual indication of an additional axial displacement distance beyond the target initial pull-up position 56.

Fig. 5 is similar to Fig. 3 but with the raised portion 100 axially spaced from the body threads 18 for some sizes of fittings.

In the embodiment of Fig. 6, the marking 50 two raised portions 110, 112 are  
25 formed on the body neck 52. The first or leading raised portion 110 is used to indicate the initial pull-up position, while the second raised portion 112 is used to indicate during subsequent remakes that the nut (not shown) has axially advanced a

5 predetermined distance Y from the initial pull-up position 56. Note that in Fig. 6 the initial pull-up position corresponds to a trailing edge 110a of the raised portion 110 so that the raised portion 110 is substantially covered when the nut is in the initial pull-up position; and further that the additional pull-up position indication corresponds to a trailing edge 112a of the second raised portion 112 so that the second raised portion  
10 112 is substantially covered when the nut has advanced axially a predetermined distance from the initial pull-up position. As with all the embodiments herein, the raised surfaces of the portions 110, 112 can be treated with color or roughening or otherwise to aid in the visual perception of the marking 50.

In the embodiment of Fig. 7, the marking 50 is realized in a manner similar to  
15 Fig. 4 except that the marking 50 is formed as a step 120 in the neck 52 of the body 12. The step 120 forms a leading edge 120a and a trailing edge 120b that are used for the initial pull-up position 56 and to indicate the distance Y during subsequent remakes of the fitting.

In the embodiment of Fig. 8 the neck 52 of the body 12 is tapered axially as at  
20 52a. The neck 52 tapers to a cylindrical portion 130 that in this embodiment is positioned adjacent the back end of the body threads 18. The marking 50 is thus realized in the form of the cylindrical portion 130 that is visually perceptible. The nut is advanced onto the body 12 until the marking 50 is substantially covered by the nut, thus indicating that the nut is in the initial pull-up position.

25 In the embodiment of Fig. 9, the neck 52 of the body 12 is tapered axially over a predetermined portion 140 of the neck 52. The tapered portion 140 is axially adjacent a groove 142 thus defining an edge 144 between the groove 142 and the

5 tapered portion 140. The edge 144 corresponds to the initial pull-up position 56 so that the groove 142 is substantially covered by the nut 14 when the nut has been installed to the initial pull-up position 56. The axial extent of the tapered portion 140 defines the distance Y for further predetermined axial displacement of the nut 14 during subsequent remakes of the fitting, so that the tapered portion 140 is  
10 substantially covered by the nut when the nut has advanced the distance Y during subsequent remakes. The marking 50 in this embodiment thus comprises the groove 142 and the tapered portion 140.

In the embodiment of Fig. 10, the threads 18 of the body 12 are precisely machined so that the back end 18a of the threads 18 corresponds to the initial pull-up  
15 position 56. In this embodiment then, the marking 50 is realized in the form of the end of the threads 18.

Figs. 11A-11F illustrate various groove shapes or geometry formed in the neck 52 of the body 12 that can be used for the marking 50 in the various embodiments of the invention herein that use grooves. These are intended to be exemplary in nature to  
20 illustrate that the particular groove shape is not critical to the practice of the present invention. In particular, Fig. 11H illustrates a groove having an elliptical edge.

Fig. 12 illustrates an embodiment of the intrinsic gauge invention that uses a band that is installed on the neck of the body 12 rather than a machined groove or raised portion of the neck 52. The band 150 may be colored or otherwise visually  
25 perceptible, and is thin enough so that the nut 14 (not shown) can easily extend over the band 150. The band 150 includes a visually perceptible marking 50 that defines a leading and trailing edge 50a and 50b to correspond with the initial pull-up position

5 56 and a predetermined axial distance Y of movement of the nut during subsequent remakes. Fig. 13 illustrates another version of this concept in which the band 150 includes a raised lip 152 that defines an edge 154 that corresponds to the initial pull-up position 56 of the nut 14. Fig. 14 illustrates another embodiment in which the band 150 includes first and second raised portions 160, 162. These raised portions  
10 160, 162 define corresponding edges 160a and 162a that indicate the initial pull-up position 56 and the predetermined axial distance Y during subsequent remakes, respectively. Again, as noted herein before, the distance Y can correspond to the axial distance between the initial pull-up target position 56 and the additional axial displacement position (50b in Fig. 12 or 162a in Fig. 14, for example) or can  
15 correspond to axial distance between the actual initial pull-up position the nut 14 is turned to on the body 12 and the additional displacement position.

Fig. 15 illustrates another embodiment of the invention. In this example, a generally cylindrical body band or sleeve 200 is attached to the fitting body 12. The body band 200 extends axially to a position 202 that is precisely determined to  
20 correspond to the initial pull-up position 56 of the nut 14 on the body 12. The fitting nut 14 includes a nut tab 204 that is attached to the forward end of the nut 14a. The nut tab 204 is formed with an outer diameter that is less than the inner diameter of the body band 200 and has a rear end 204a. As the nut 14 is advanced onto the body threads 18 by relative rotation between the nut 14 and the body 12, the nut tab 204  
25 advances toward the body band 200. When the tab back end 204a aligns with the forward end 202 of the body band 200, the assembler knows that the nut 14 is in the initial pull-up position. Optionally, the axial dimension of the tab 204 can be

5 predetermined to correspond to the axial distance Y that the nut advances during subsequent remakes of the fitting 10. In this alternative situation, the nut 14 is advanced during subsequent remakes until the forward end 202 of the body band 200 covers the tab 204 or hits the forward end 14a of the nut 14.

10 In the embodiment of Fig. 16, the nut tab 204' is dimensioned with an outer diameter that is greater than the outer diameter of the body band 200. In this case, as the nut 14 is advanced onto the body 14 threads, the tab 204' can slide over the body band 200'. Initial pull-up position is indicated when the tab 204' aligns with the forward end 202' of the body band 200.

15 In the embodiments of Figs. 15 and 16, the bands 200, 200' can be made of metal such as stainless steel for example. The bands can be attached to or machined from the body 12. The nut tabs 204, 204' can also be machined as part of the nut 14.

20 In another embodiment illustrated in Fig. 17, the marking 50 is positioned on the body 12 adjacent the threads 18. The marking 50 may be a band or a machined surface, groove or other suitable marking that is visually perceptible. Preferably the marking 50 extends continuously and circumferentially about the body neck 52. In this example, a radial viewing window 220 is provided through the nut 14. The nut 14 is installed onto the nut body 12 until the marking 50 is first visible in the window 220. This position corresponds to the initial pull-up position. The axial length of the marking 50 can also be selected to correspond to a predetermined axial displacement  
25 Y of the nut 14 during subsequent remakes of the fitting 10. When the nut 14 advances to a point where the marking 50 is no longer visible in the window 220, then

5 the assembler knows that the nut 14 has been axially advanced the predetermined distance Y.

In the embodiments described thus far, the intrinsic gauge is realized in the form of a marking 50 having one or more demarcations that are initially visually perceptible and that become visually aligned or imperceptible at the initial pull-up  
10 position or the additional axial displacement position. The general concepts of the present invention can also be realized in alternative ways that incorporate additional features of the fitting 10. These alternative embodiments are also referred to herein as intrinsic gauging because they can be incorporated into the fitting assembly 10 and used without the need for a separate or external gauging tool.

15 With reference to Fig. 18 then, a raised band 300 such as a metal stamping is positioned on the body neck 52. The free end 302 of the band 300 is raised so that the forward end 14a of the nut 14 engages with the band 300 and displaces it when the nut 14 has reached the initial pull-up position 56. The band 300 may also be made to break away when the nut 14 reaches the initial pull-up condition or when the nut 14  
20 has been further advanced axially a predetermined distance Y during subsequent remakes of the fitting.

With reference to Fig. 19, the present invention may be realized using collapsible tabs. In this example, an indicator ring 400 (Fig. 19B) is installed on the body 12 about the neck 52. The indicator ring 400 includes a plurality of axially  
25 extending tabs 402. Each tab 402 includes in this example a radial flange 403. The forward face 404 of each flange 403 is precisely position to correspond with the initial pull-up position 56 for the nut 14.



5           For initial pull-up, the nut 14 is installed onto the body 12 until the forward end 14a of the nut 14 contacts or aligns with the forward face 404 of the tabs 402 (Fig. 19B). Over make is avoided by the visual indication to the assembler that the nut 14 has contacted the ring 400, specifically the flanges 403. If the nut 14 continues to be turned relative to the body 12, the tabs 402 will begin to be collapsed or bent  
10 inwardly. This compressing of the tabs 402 can be used as an indicator of the additional axial displacement distance Y during subsequent remakes if so required. In such case, during subsequent remakes, the additional axial advancement of the nut 14 onto the body 12 will cause further compression or collapse of the tabs 402. Complete compression of the tabs 402 can be used as a visual indication that the nut  
15 14 has advanced a distance Y beyond the initial pull-up position 56.

          With reference to Fig. 20, the invention is illustrated in use with a modified nut 450. All aspects of the fitting 10 and the marking 50 are the same as the embodiment of Figs. 1 and 2 for example, but the nut 450 has been modified so that the hex faces 452 are formed on the tube end of the nut rather than the body end. In  
20 this manner, the nut 450 has a reduced diameter 454 adjacent the region of the marking 50, making the marking easier to visually detect and also improving visual accuracy of determining alignment of the nut end 456 with the marking 50.

          Figs. 21A-C illustrate another embodiment of the invention that uses an attachable ring 500. The ring 500 is preferably metal and can be attached by any  
25 suitable method. The ring 500 is characterized by a rigid body 502 and a compressible or collapsible portion 504. The compressible portion 504 in this example includes a thin wall 506 attached to the rigid body 502 by a number of thin

5 web-like legs 508. The legs 508 can be formed by machining holes in a cylindrical wall of the collapsible portion 504.

Fig. 21A illustrates the fitting 10 in the finger tight position. In Fig. 21B, the nut 14 has been installed onto the body 12 to a position whereat the forward wall 506 contacts a forward face 12b of the body 12. This may be used as the initial pull-up  
10 position. During subsequent remakes the thin webs 508 will collapse as the nut is axially advanced further with each remake, as illustrated in Fig. 21C. Complete compression of the legs 508 can be used as a visual indication of the additional axial displacement position for the assembler and can also be used as a positive stop to prevent further remakes if so required.

15 In the embodiment of Figs. 21D-F, a compressible stop collar 850 is installed on the body 12 in a manner that allows the collar 850 to spin freely when the fitting has not been made up, such as, for example, by allowing for an axial gap 852 between the collar 850 and the nut 14 before the nut 14 is installed to the finger tight position (Fig. 21E). In Fig. 21E, the nut 14 has been installed to the finger tight position such  
20 that a forward wall 14a of the nut touches the collar 850. This contact between the nut 19 and the collar 850 prevents or restricts the collar 850 from spinning freely and can thus be used as an indication of proper initial pull-up. Upon subsequent remakes, as illustrated in Fig. 21F, as the nut 14 further advances axially beyond its initial pull-up position, the collar 850 includes a thin extension or series of tabs 854 that are  
25 crushed or otherwise collapse at a predetermined axial advance of the nut 14. For clarity the ferrules are omitted from Figs. 21E, F.

5           Fig. 22 illustrates another embodiment for the collapsible portion 504'. In the example of Fig. 22, the collapsible or compressible portion 504' of a ring 500' is realized in the form of a series of tab extensions 510 that axially extend from the main body 502'. These tabs 510 collapse as they engage the body 12 during initial make-up of the fitting 10.

10           With reference to Figs. 23A and 23B, in another form of the invention, the initial pull-up position 56 and the additional axial displacement position (and distance Y) are visually indicated using a marking 600 on the tubing T. In this example, the marking 600 is realized in the form of two demarcations or lines 602 and 604. The demarcations 602, 604 may be formed into the tubing wall 606 or applied in the form  
15 of a band, painted line and so forth. In the finger tight position, both lines 602, 604 are hidden from view to the assembler by the nut 14. The first line 602 is positioned such that during initial pull-up the nut 14 is advanced onto the body 12 until the first line 602 is visible, as illustrated in Fig. 23A. the second line 604 is positioned such that during subsequent remakes the second line becomes visible after the nut 14 has  
20 been advanced an additional axial displacement Y beyond the initial pull-up position 56.

          Fig. 24 illustrates a suitable tool 650 for marking the tubing T in accordance with the embodiment of Figs. 23A and B. The tool 650 is realized in this example in the form of a hollow single ended cylindrical sleeve 652. The sleeve includes a rear  
25 wall 654 against which a tube end 656 is bottomed. A pair of radial holes 658, 660 are formed in the sleeve 652 and receive respective marking tools 662, 664. The tools 662, 664 are axially separated a predetermined distance Y. as the tubing T is rotated

5     within the sleeve 652, the tools 662, 664 scratch or otherwise form lines in the surface of the tubing T to correspond with the lines 602, 604 described herein with respect to Figs. 23A and 23B.

With reference to Fig. 25, in this embodiment of the invention, a position indicator band 700 is attached to the nut body 12. This band includes a “start” position identifier 702 and a “finish” position identifier 704. The nut 14 is initially installed onto the body 14 to the finger tight position. The nut 14 is then marked in a suitable manner, either at the manufacturer or by the assembler. The alignment mark (not shown) on the nut is applied to the nut so as to axially align with the start position indicator 702, and typically may be applied to the forward face of the nut 14a or to one of the hex faces on the nut body. The nut is then rotated relative to the body for the prescribed number of full and partial turns, wherein the nut 14 is rotated until the nut alignment mark is axially aligned with the finish position indicator 704. Although this technique requires the assembler to keep track of the full and partial turns, final pull-up position is more accurately achieved since there are well defined starting and stopping position indicators. Fig. 26 illustrates an example wherein the indicator band 700’ is installed on the nut 14. When the fitting 10 is assembled to the finger tight position, an alignment mark is applied to the body 12 in axial alignment with the start position identifier 702’ on the band 700’. The nut 14 is then relatively rotated the prescribed number of full and partial turns until the alignment mark on the body 12 axially aligns with the finish position identifier 704’ on the band 700’.

With reference to Figs. 27 and 28, the invention is illustrated in use with a T fitting 800. The fitting 800 includes a main body 802 with three identical fitting ends

5 804. In this example, each fitting end 804 includes a marking 50 in accordance with the invention, although it is not necessary that all three ends have the marking 50.

As best viewed in Fig. 28, the marking 50 is realized in the form of a groove 806 machined into the neck portion 22 of each fitting end 804. The groove leading edge 808 is axially spaced from the last thread 18a of the threaded end 18, thus forming a step 810. The trailing edge 812 may be used to indicate an additional axial displacement of the nut 14 (not shown) during subsequent remakes. In this case, as with the other examples herein, the initial pull-up position 56 is indicated by aligning the forward end of the nut 14 with the leading edge 808 of the groove 806, or may simply be specified as the forward end of the nut 14 axially aligning anywhere within the groove 806 area.

10  
15

Fig. 29 illustrates another embodiment in which line markings 900a and 900b are applied to the nut 14 and body 12 after the fitting has been assembled to the finger tight position. The line markings 900 may be formed by any suitable method including laser etching. The fitting may be disassembled by the user for installation and then re-made to the finger tight condition with the markings 900a,b aligned. Afterwards, initial pull-up is verified by rotating the nut a full turn until the markings are again in alignment. This embodiment is particularly suited for fittings that utilize a single full turn for initial pull-up. The line markings 900a,b may be used in combination with other markings such as a groove to indicate additional axial displacement beyond initial pull-up during subsequent re-makes.

20  
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The invention has been described with reference to the preferred and exemplary embodiments. Modifications and alterations will occur to others upon a

- 5 reading and understanding of this specification. It is intended to include all such modifications and alterations insofar as they come within the scope of the claimed invention or the equivalents thereof.

5

CLAIMS

Having thus described the invention, it is claimed:

1. Intrinsic gauging assembly for a ferrule type tube fitting, comprising:  
a coupling body having a threaded end that is capable of receiving a tube end;  
a threaded coupling nut that mates with said threaded end of the coupling  
10 body;  
at least one ferrule retained in the nut interior; and  
a visually perceptible marking on the coupling body that is visible when the  
fitting is in a finger tight condition, and that is substantially imperceptible after the  
fitting has been assembled on a tube end to an initial pull-up position.
- 15 2. The assembly of claim 1 wherein said marking comprises a machined  
surface on the coupling body.
3. The assembly of claim 2 wherein said marking is adjacent said  
threaded end of the coupling body.
4. The assembly of claim 2 wherein said machined surface is knurled.
- 20 5. The assembly of claim 1 wherein said marking has an axial position  
that corresponds to a predetermined axial advance of the coupling nut relative to the  
coupling body for initial pull-up.
6. The assembly of claim 1 wherein said marking comprises a machined  
recess in a neck portion of said coupling body.
- 25 7. The assembly of claim 1 wherein said marking comprises a machined  
recess in said coupling body.

5           8.       The assembly of claim 1 wherein marking comprises a band having a predetermined axial length.

          9.       The assembly of claim 1 wherein said marking comprises a colored machined groove.

          10.      The assembly of claim 1 wherein said marking comprises at least two  
10 demarcations on said coupling body to form a leading and trailing marking edge; said leading edge corresponding to a predetermined initial pull-up of the assembly and said trailing edge corresponding to an additional predetermined axial displacement of said nut relative to said body beyond said initial pull-up.

          11.      The assembly of claim 1 wherein said marking comprises a  
15 demarcation that is axially positioned on said body and corresponds to a predetermined axial displacement of said nut relative to said body for initial pull-up.

          12.      The assembly of claim 11 wherein said demarcation comprises an edge  
that substantially aligns with a forward end of said coupling nut when the nut has been axially displaced relative to the body by said predetermined axial displacement  
20 for initial pull-up.

          13.      The assembly of claim 11 wherein said demarcation is substantially obstructed from view after the nut has been axially displaced relative to the body by at least said predetermined displacement for initial pull-up.

          14.      Intrinsic gauge for a ferrule type tube fitting assembly, comprising:  
25           a coupling body having a threaded end that can receive a tube end and at least one ferrule and that can be mated with a coupling nut as a fitting assembly;



said coupling body having a visually perceptible marking thereon that is visible when the fitting assembly is in a finger tight condition, and that has a predetermined relationship to the coupling nut when the fitting assembly has been properly pulled up on a tube end; said marking corresponding to a predetermined axial displacement of the nut relative to the body for initial pull-up.

15. The assembly of claim 14 wherein said marking comprises a machined surface on the coupling body.

16. The assembly of claim 15 wherein said surface is adjacent a back end of said threaded end of the coupling body.

17. The assembly of claim 15 wherein said machined surface is knurled.

18. The assembly of claim 14 wherein said marking has a predetermined axial length defined by two demarcations, a first demarcation corresponding to a predetermined axial displacement of the coupling nut relative to the coupling body for initial pull-up and a second demarcation corresponding to an additional axial displacement of the nut relative to the body beyond said initial pull-up.

19. A method for gauging proper pull-up of a coupling nut on a coupling body in a ferrule type fitting, comprising the steps of:

- a. forming a visually perceptible marking on the coupling body;
- b. said forming step comprising positioning the marking to correspond to a predetermined axial displacement of the coupling nut relative to the coupling body for initial pull-up; and
- c. assembling the coupling nut onto the coupling body until the marking corresponds with the nut.

5           20.    The method of claim 19 wherein the step of forming the marking comprises the step of machining a groove in the coupling body.

          21.    The method of claim 20 wherein the step of forming the marking comprises the step of applying a color surface to said groove.

          22.    The method of claim 20 wherein the step of forming the marking  
10 comprises the step of knurling the surface of said groove.

          23.    The method of claim 19 comprising the step of imparting relative rotation between the nut and body to produce an axial displacement of the nut relative to the body until said marking is substantially visually obstructed.

          24.    The method of claim 19 wherein the step of forming the marking  
15 comprises the step of forming at least two demarcations on the coupling body; and forming said demarcations as a leading edge and a trailing edge wherein the leading edge corresponds to axial displacement of the nut relative to the body for initial pull-up of the fitting and the trailing edge corresponds to an additional axial displacement of the nut relative to the body beyond the initial pull-up.

20           25.    Intrinsic gauging assembly for a tube fitting of the type comprising a body, a nut threadably installed on the body and at least one ferrule, the assembly comprising:

          a gauge device installed on one of the fitting body and nut, said device having a first visually perceptible shape when the fitting is first assembled in a finger tight  
25 condition, and a second visually perceptible shape when the fitting is pulled up to an initial pulled up condition.

5           26.     The assembly of claim 25 wherein said device axially collapses as the fitting is pulled up.

          27.     The assembly of claim 25 wherein said device is loosely installed and free to rotate when the fitting is in a finger tight condition, and wherein said device is restrained from rotating when the fitting is pulled up.

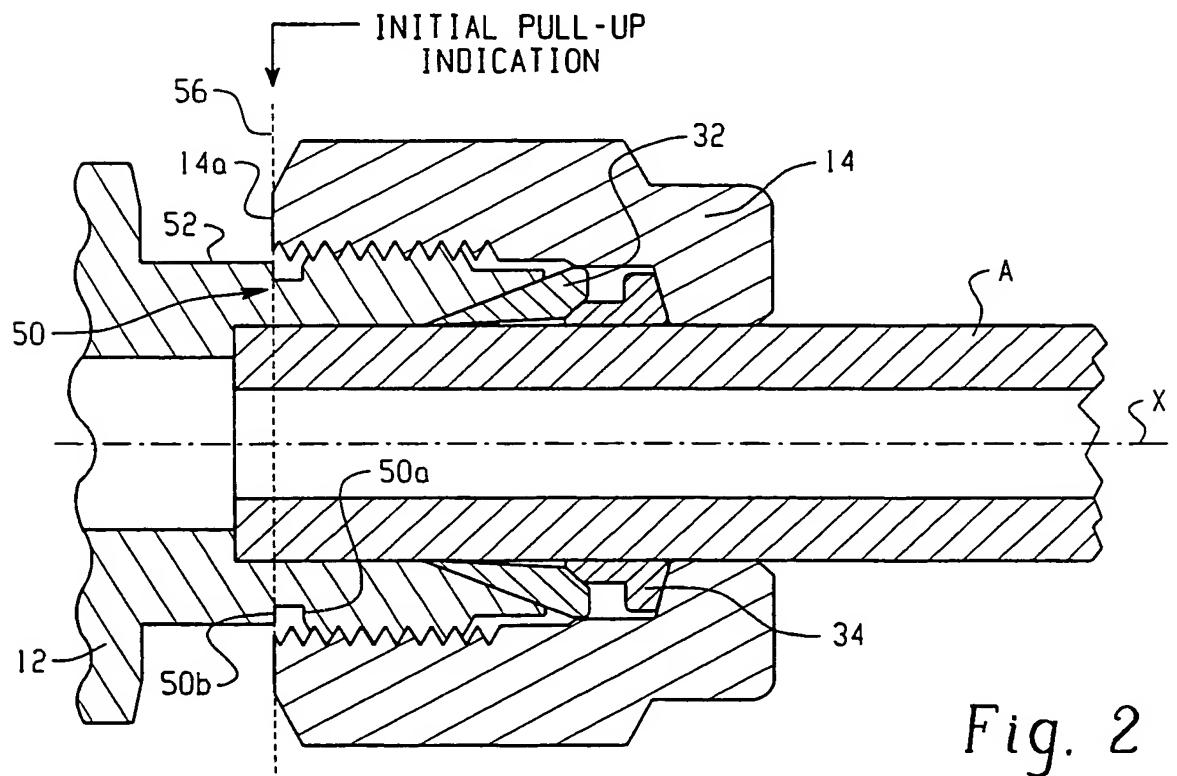
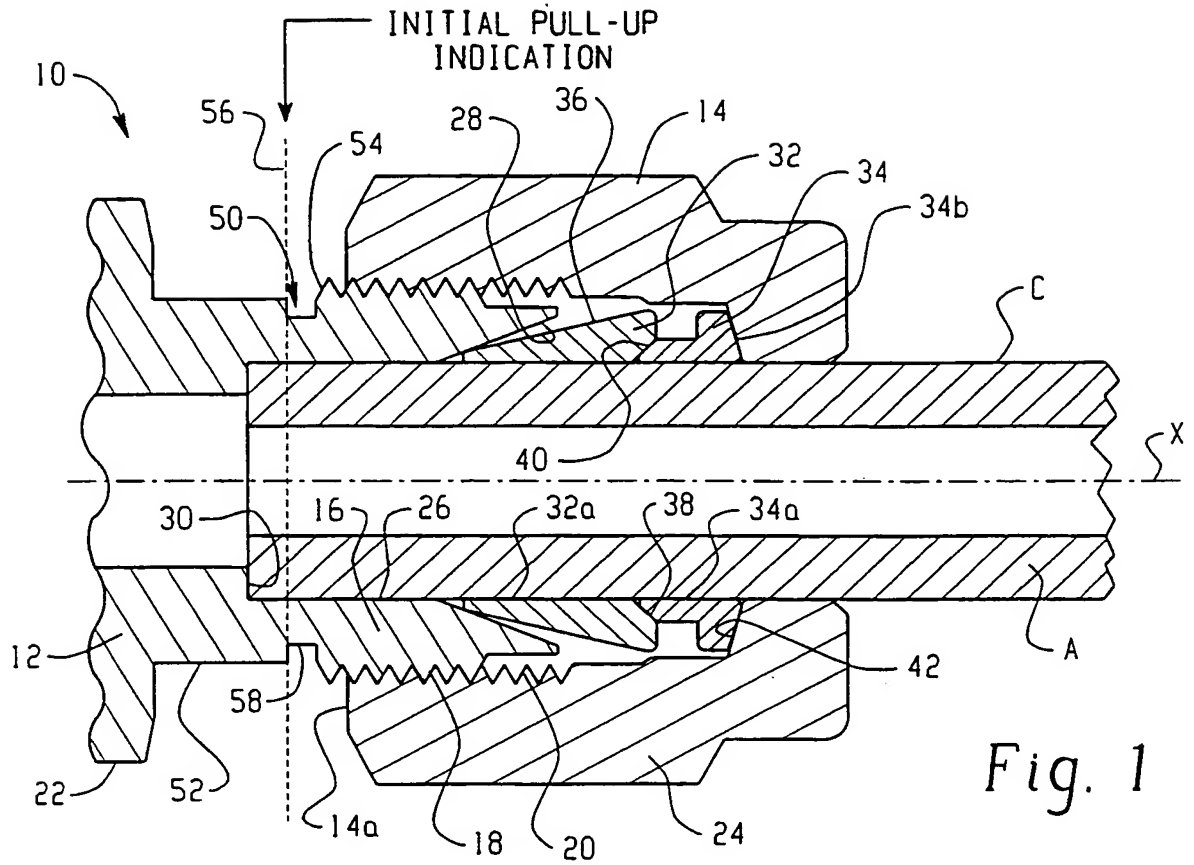
10          28.     The assembly of claim 27 wherein said device is axially compressed and changes visually perceptible shape upon further pull up of the fitting.

          29.     Intrinsic gauging for a tube fitting of the type having a body, a nut threadably coupled to the body and at least one ferrule, comprising:

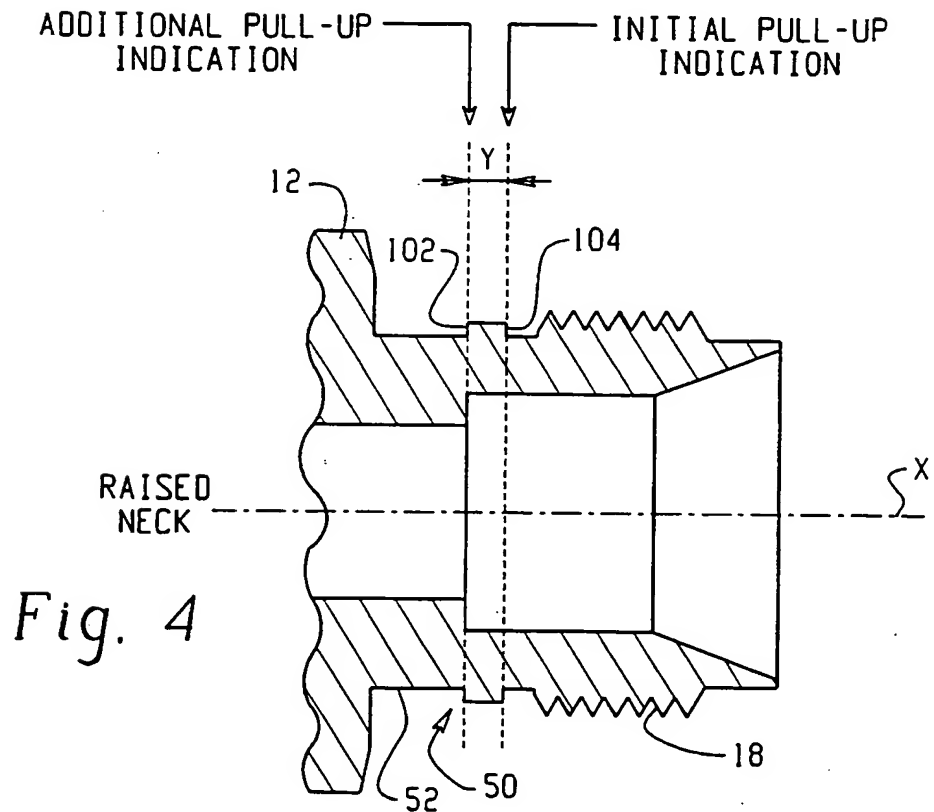
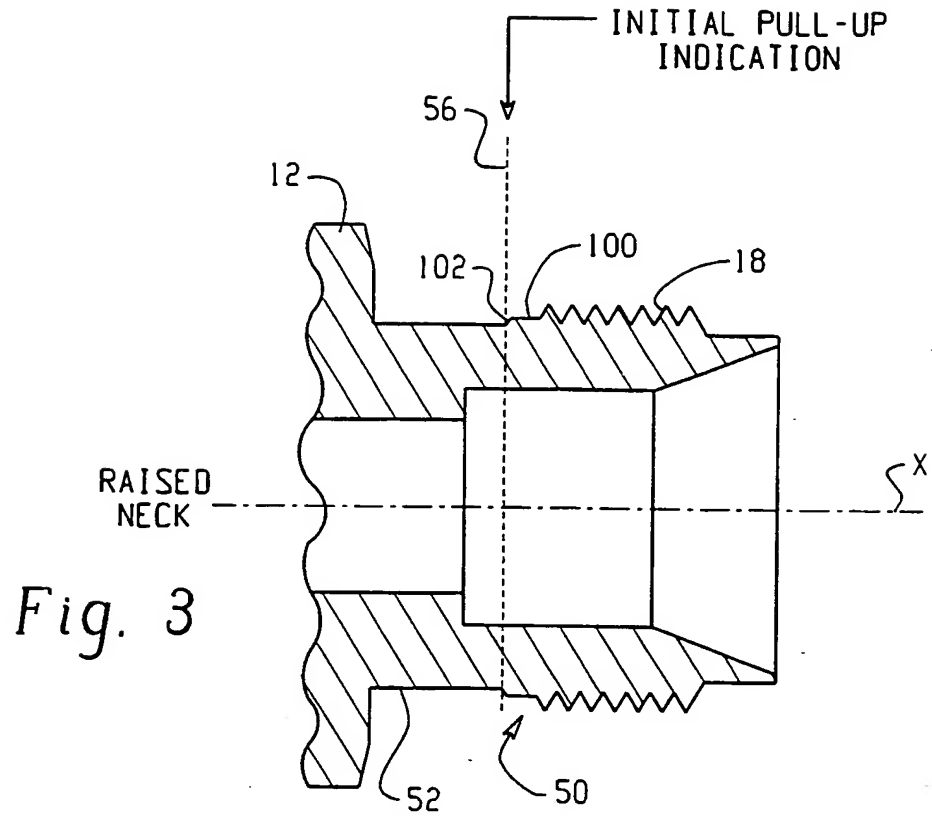
          a first marking on the body and a second marking on the nut, said first and  
15   second markings being aligned when the fitting is in a finger tight condition, said markings being unaligned as the fitting is pulled up, and said markings being aligned again when the fitting is fully made up.

          30.     The assembly of claim 29 wherein said first and second markings comprise axially oriented lines relative to a rotational axis of the nut and body.

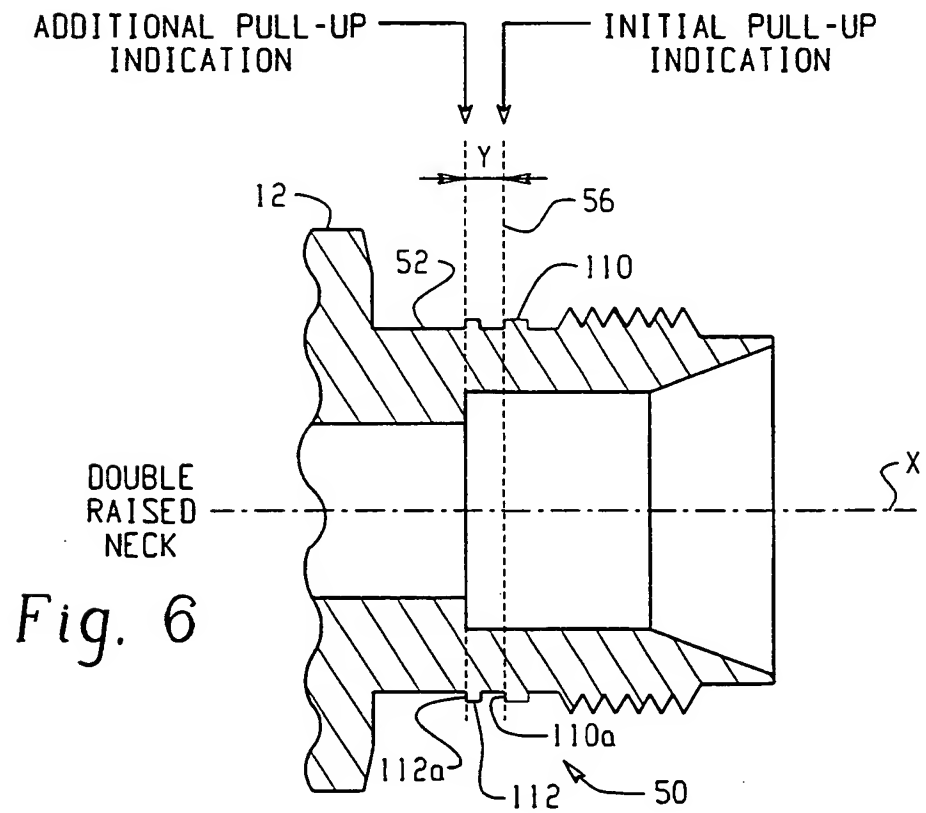
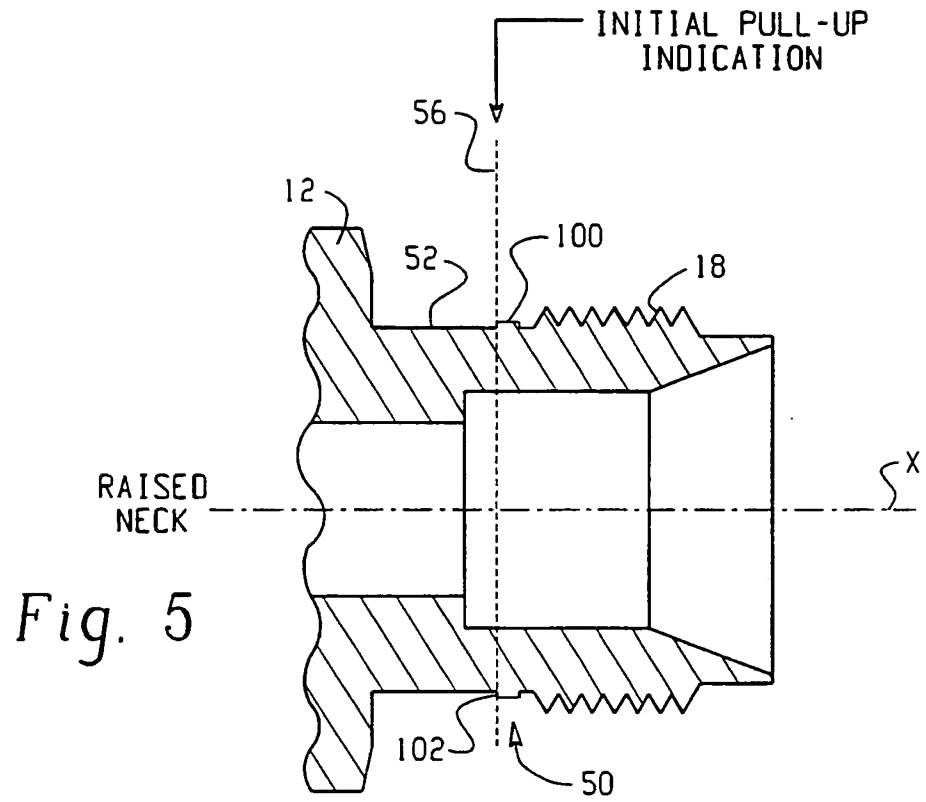
20          31.     The method of claim 19 comprising the step of forming a second marking on the nut, wherein said markings are aligned in a predetermined manner when the fitting is in a finger tight condition, are unaligned during initial tightening of the nut on the body, and become realigned after a predetermined axial displacement of the nut relative to the body.



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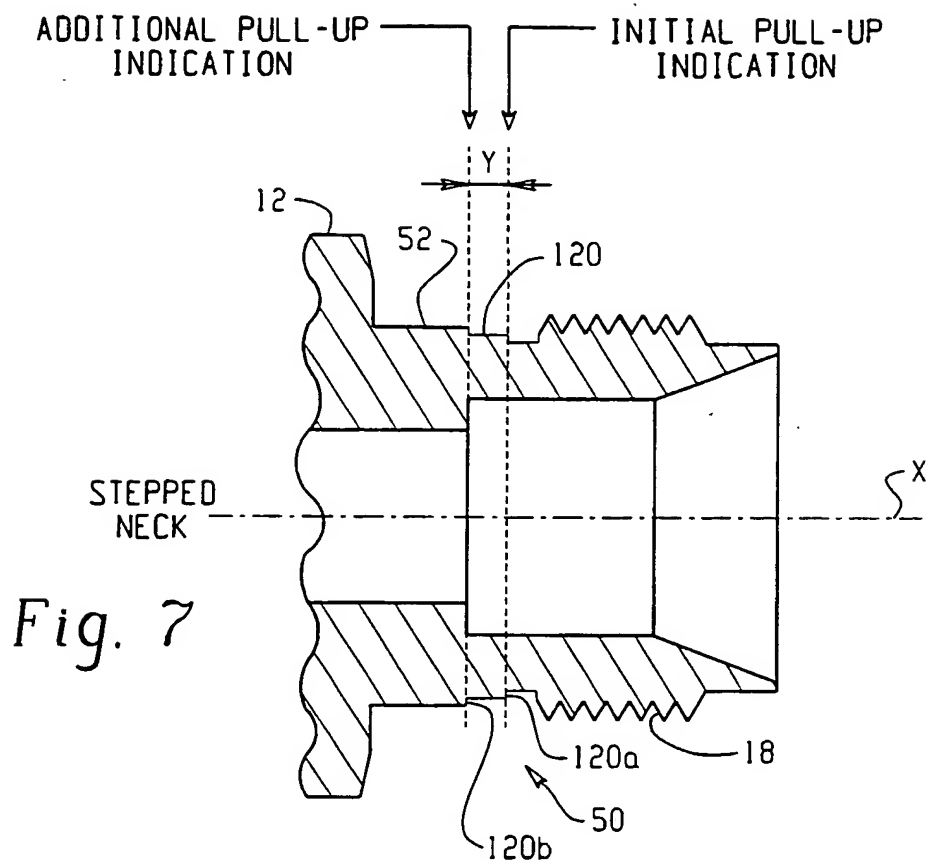


Fig. 7

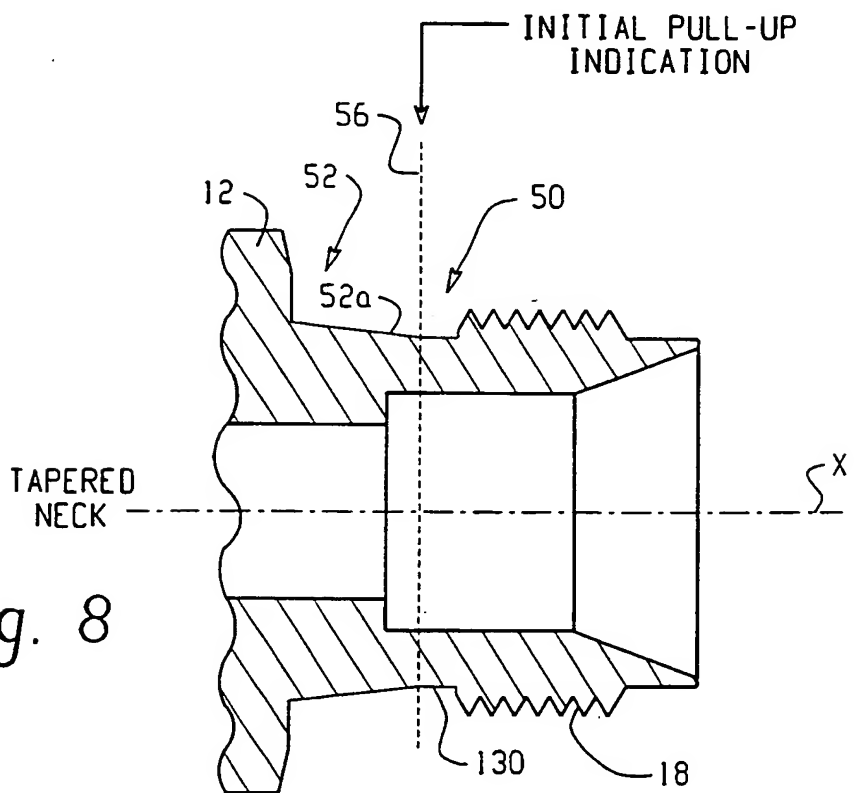
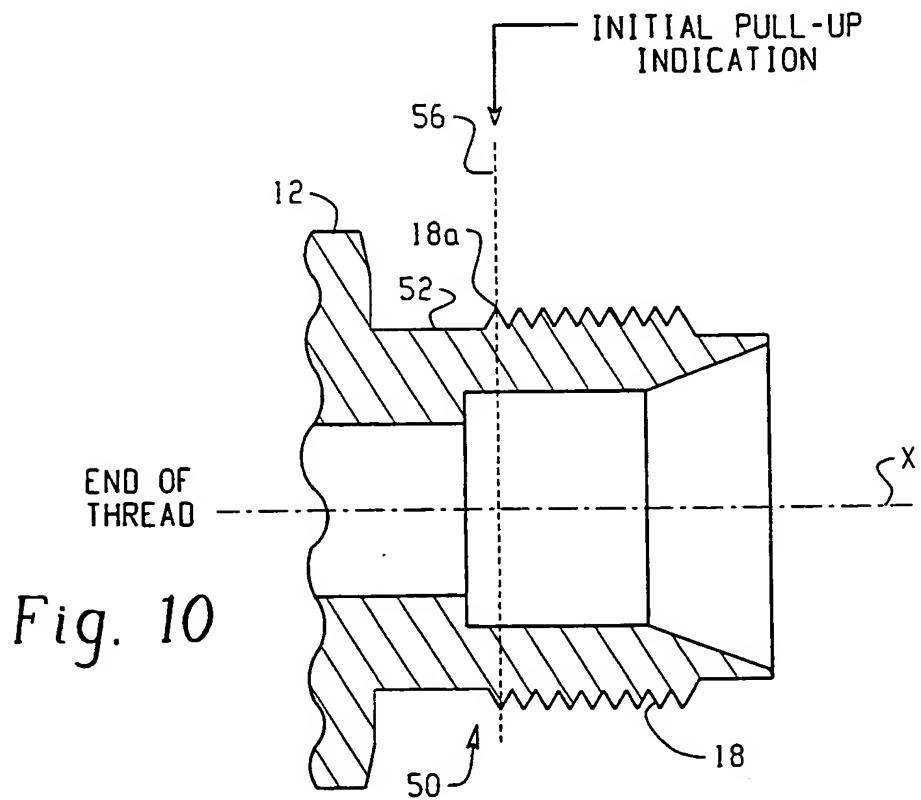
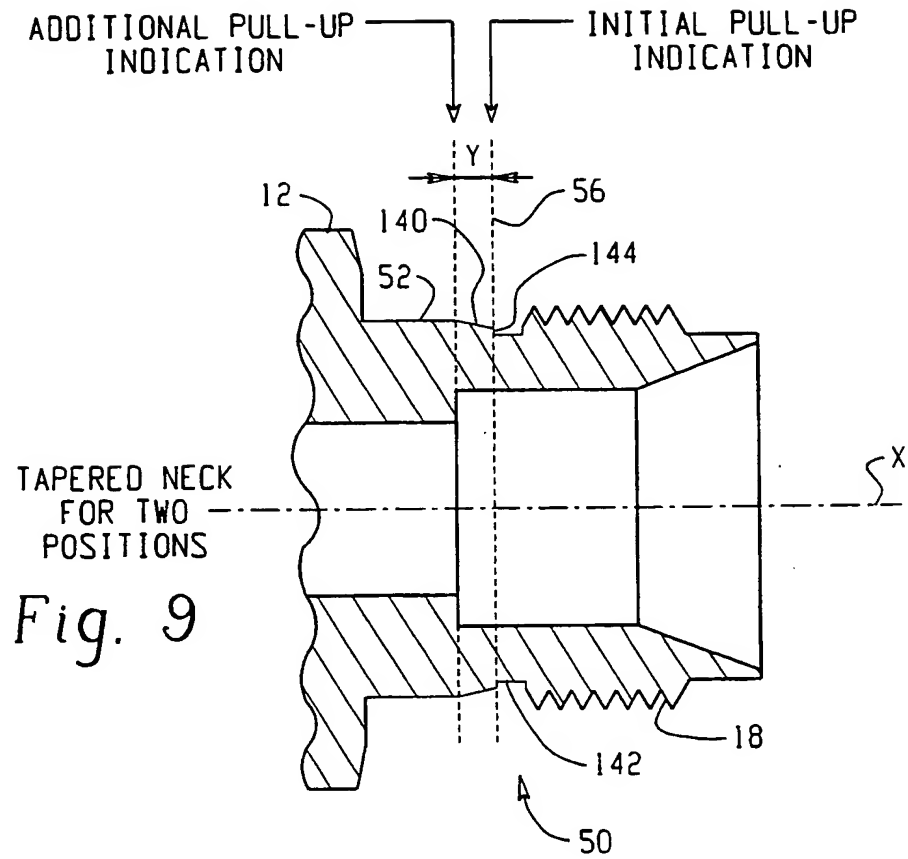


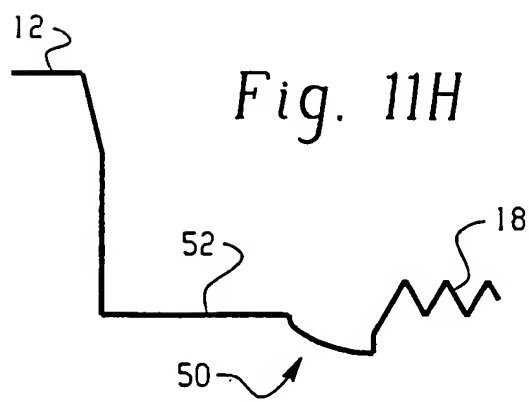
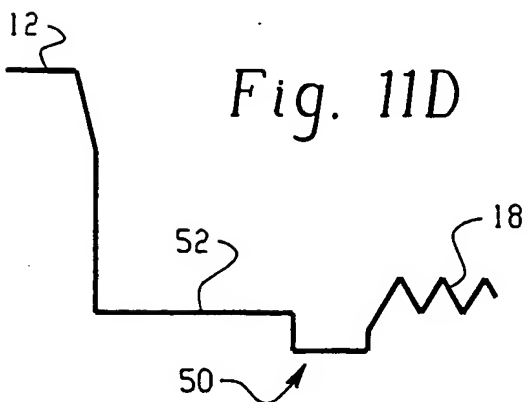
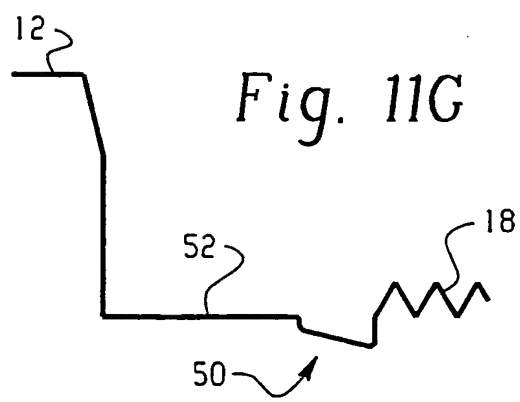
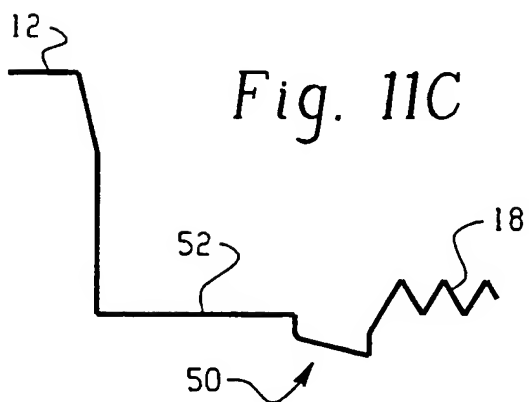
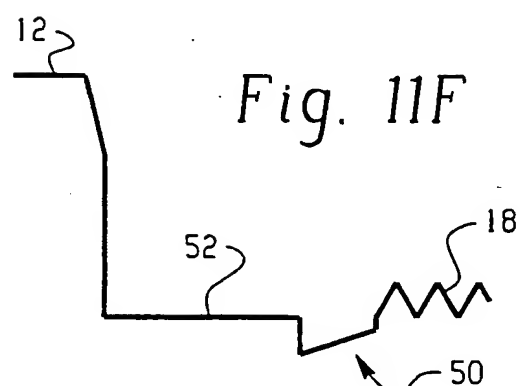
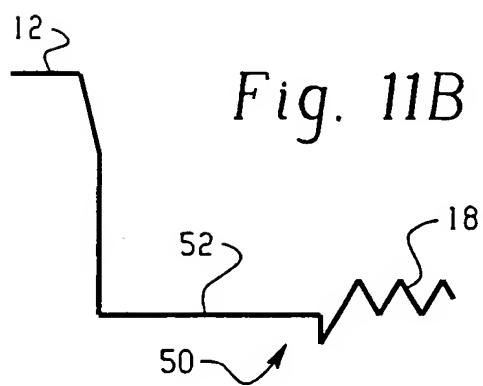
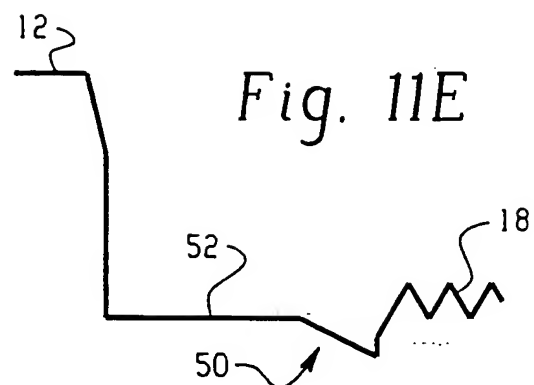
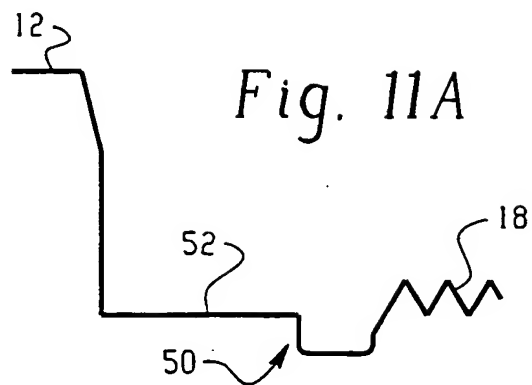
Fig. 8

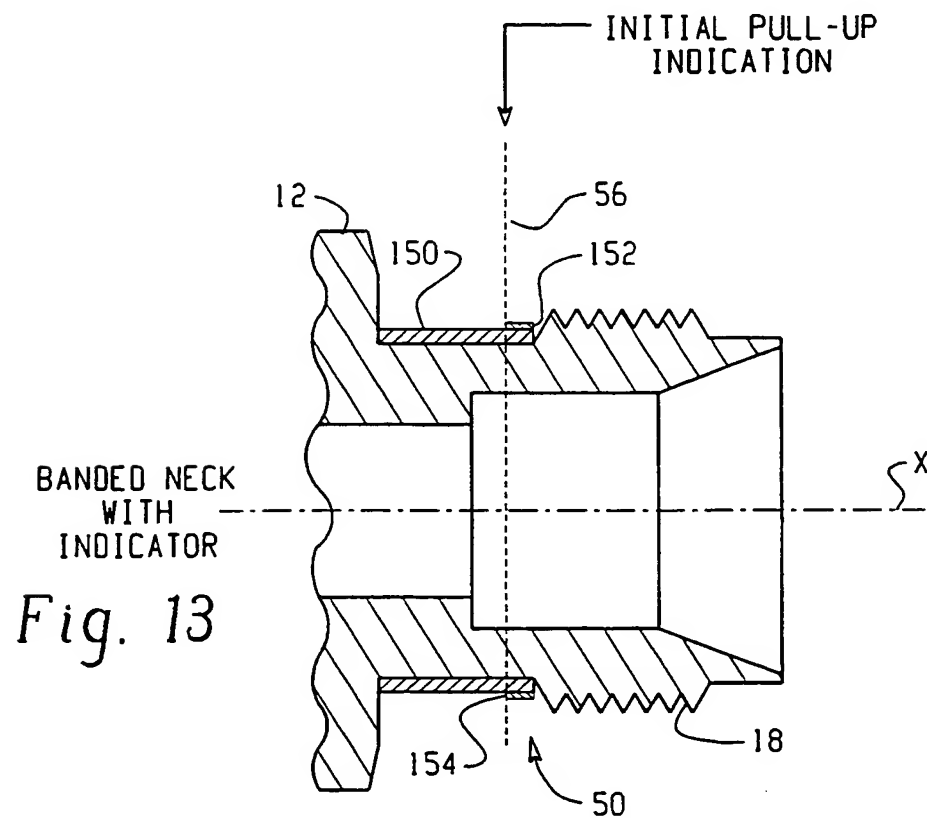
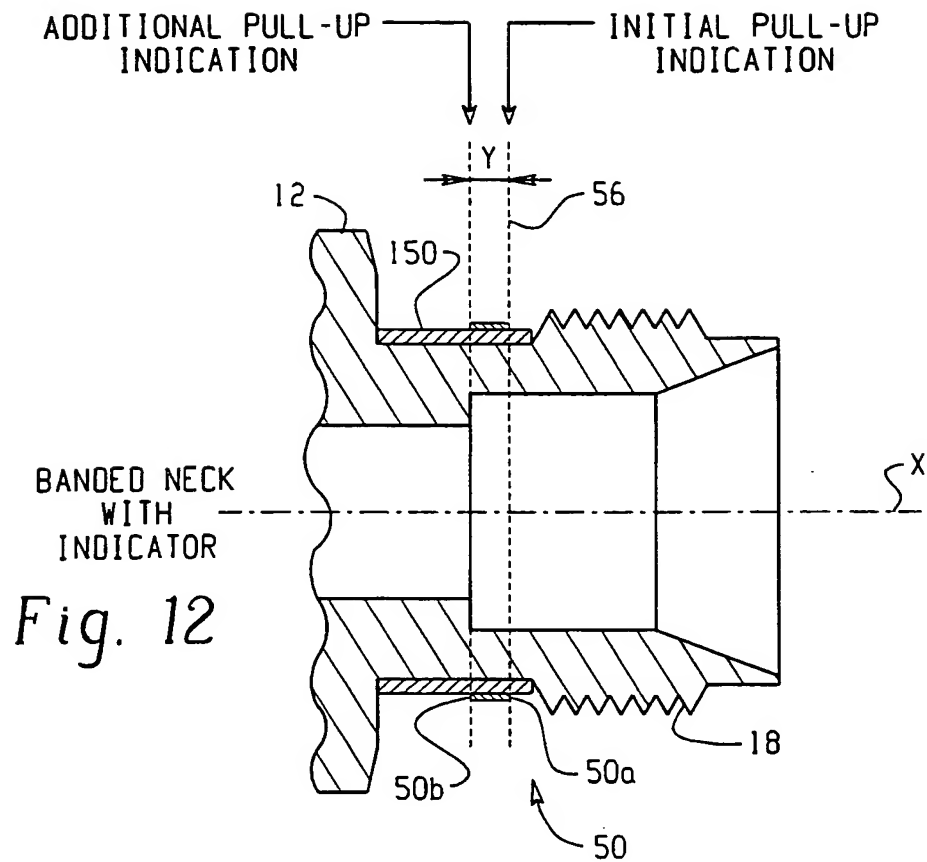
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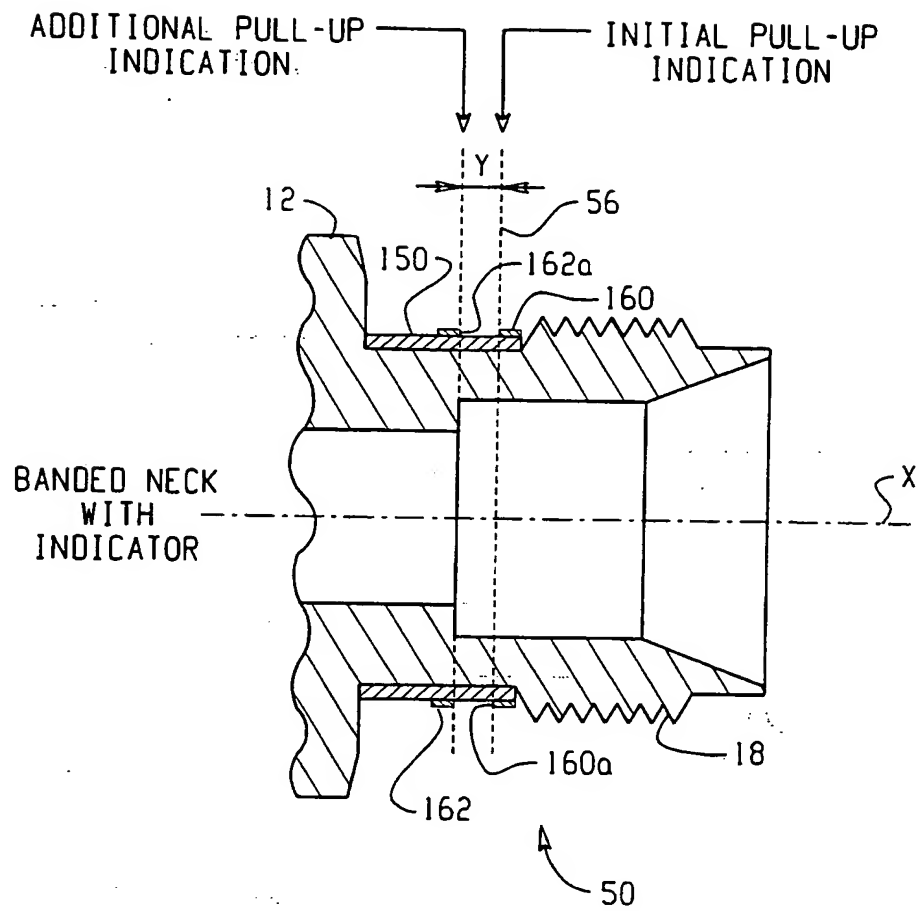


Fig. 14

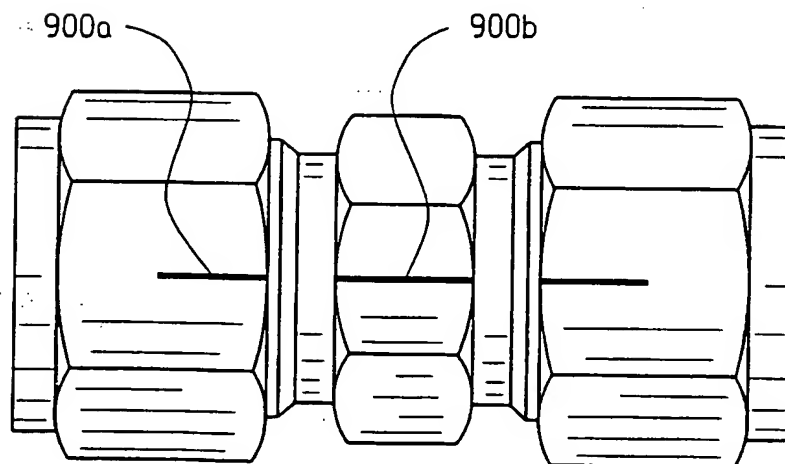


Fig. 29

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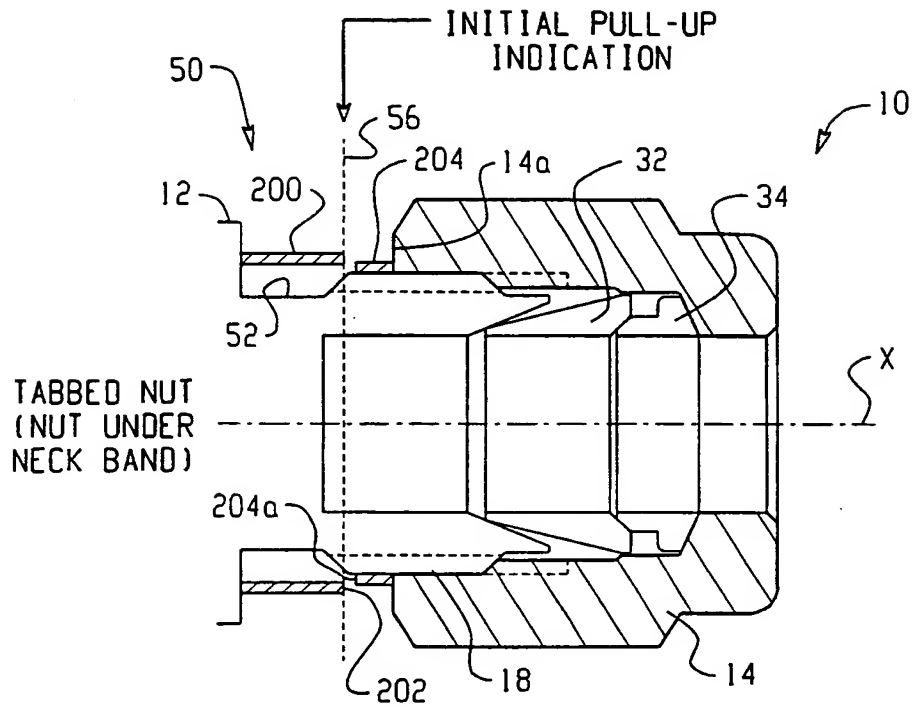


Fig. 15

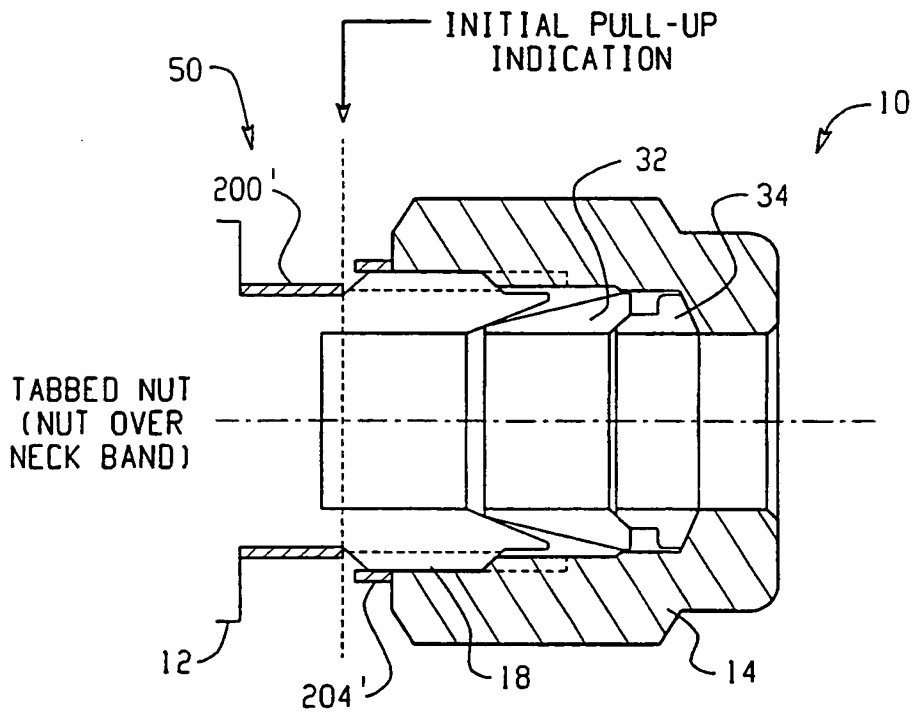


Fig. 16

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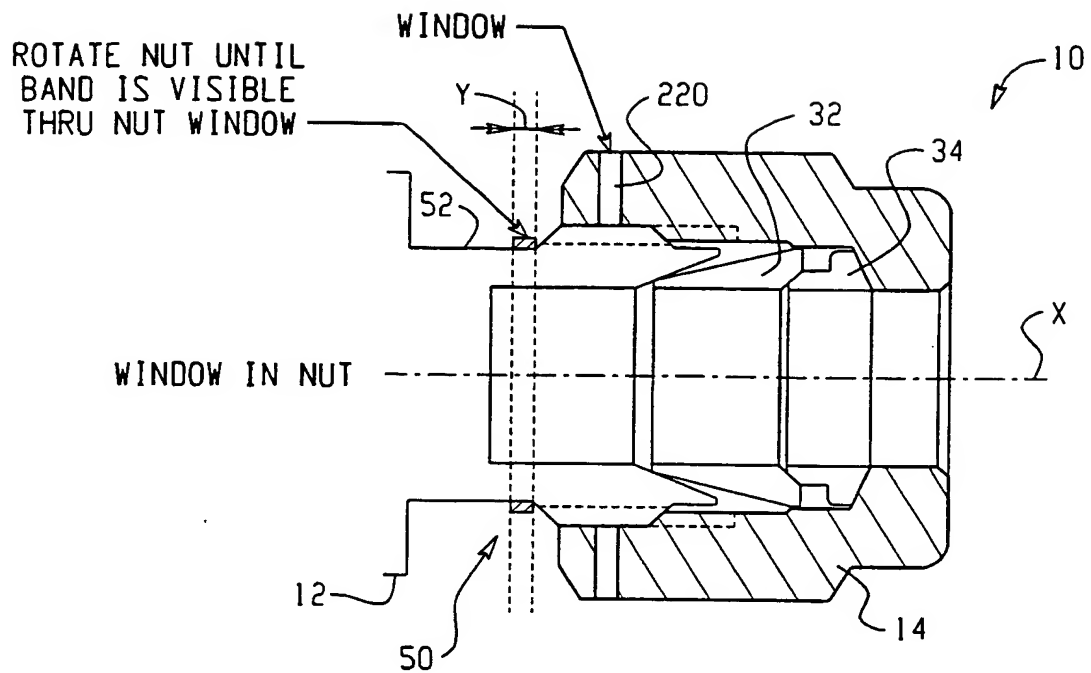


Fig. 17

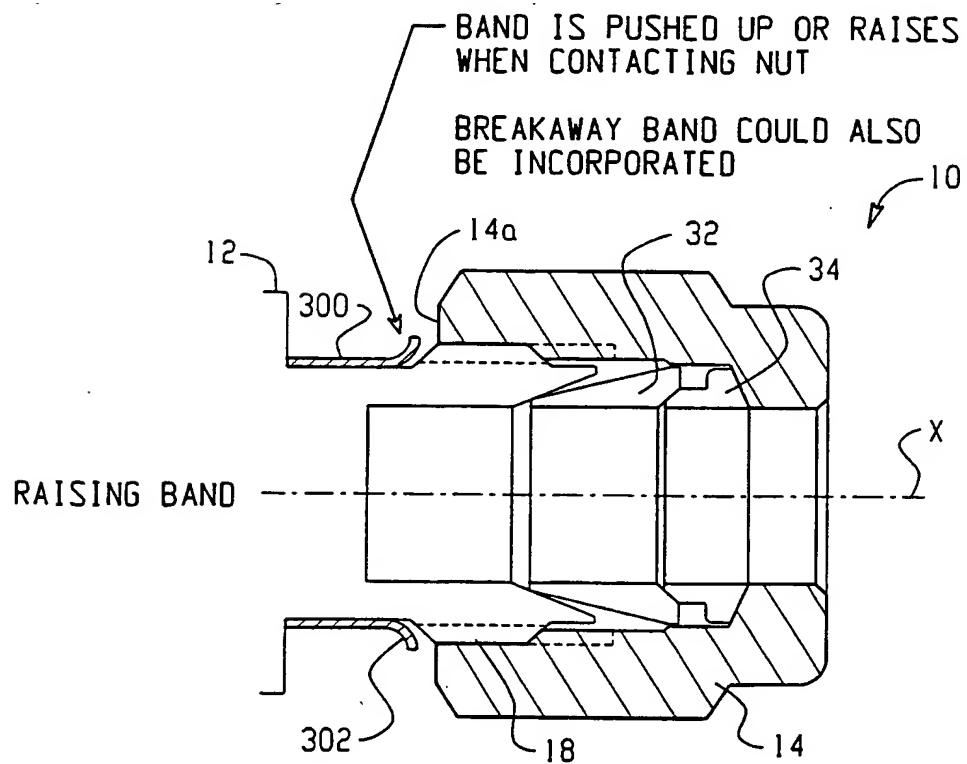
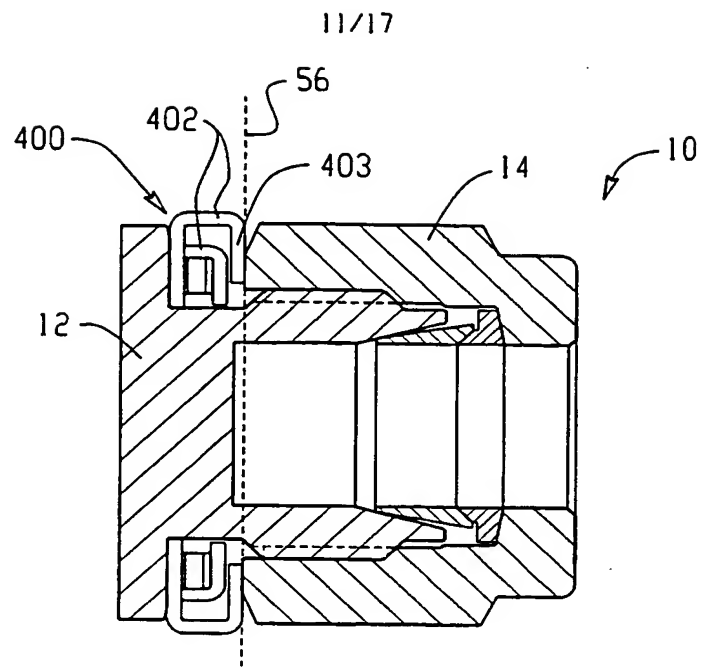
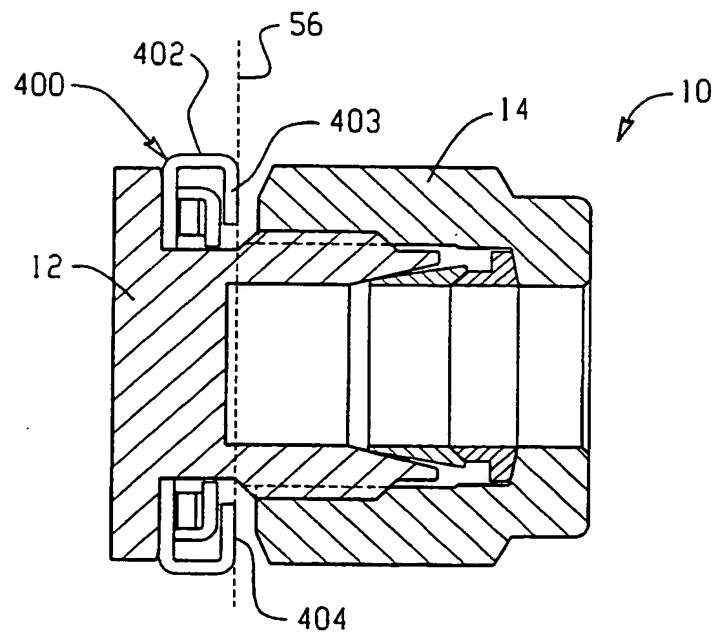
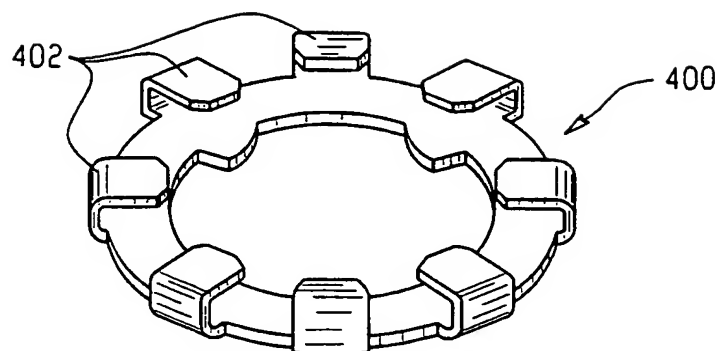
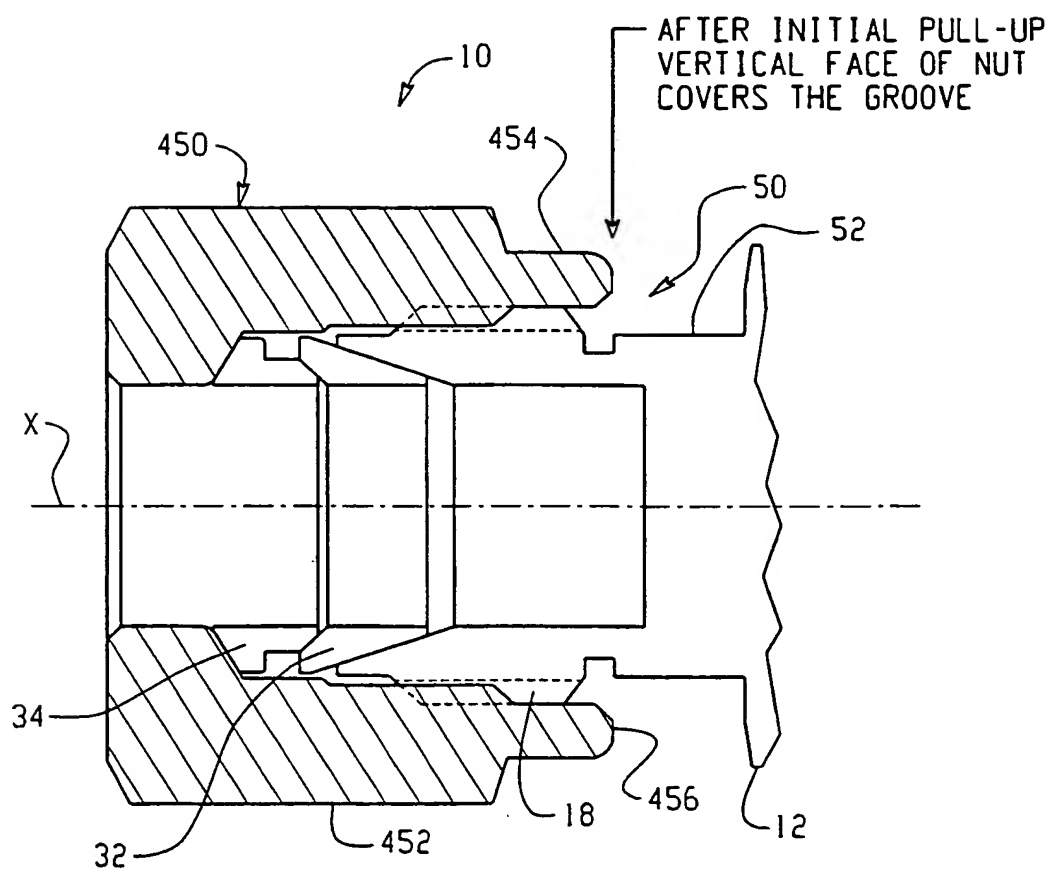
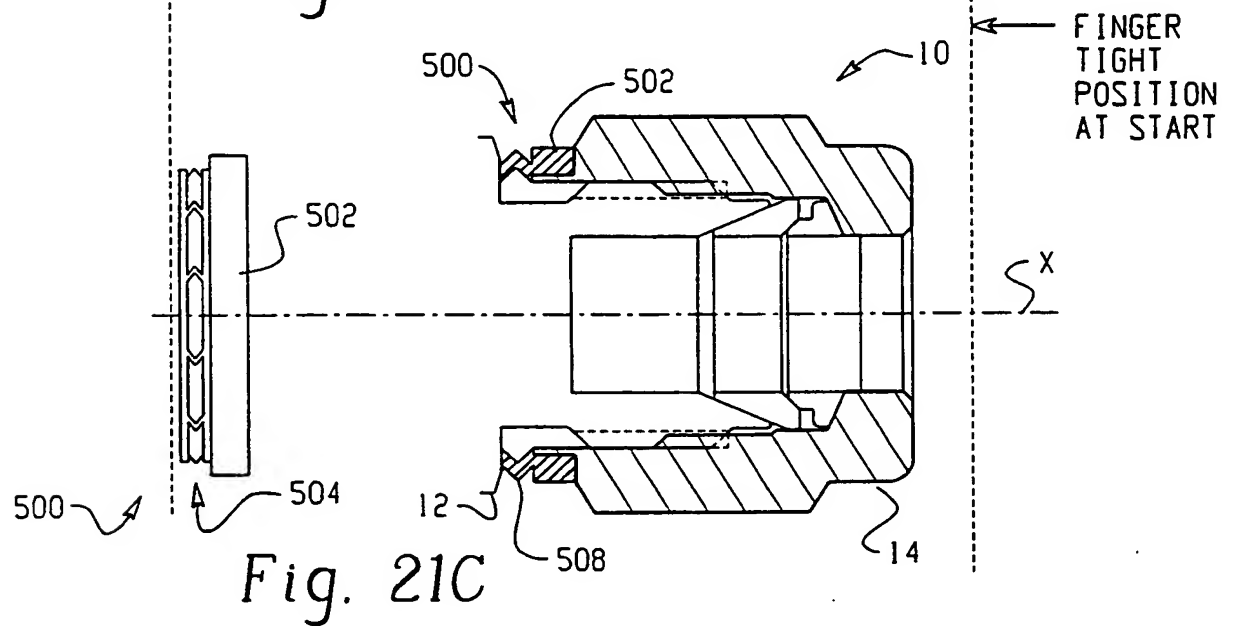
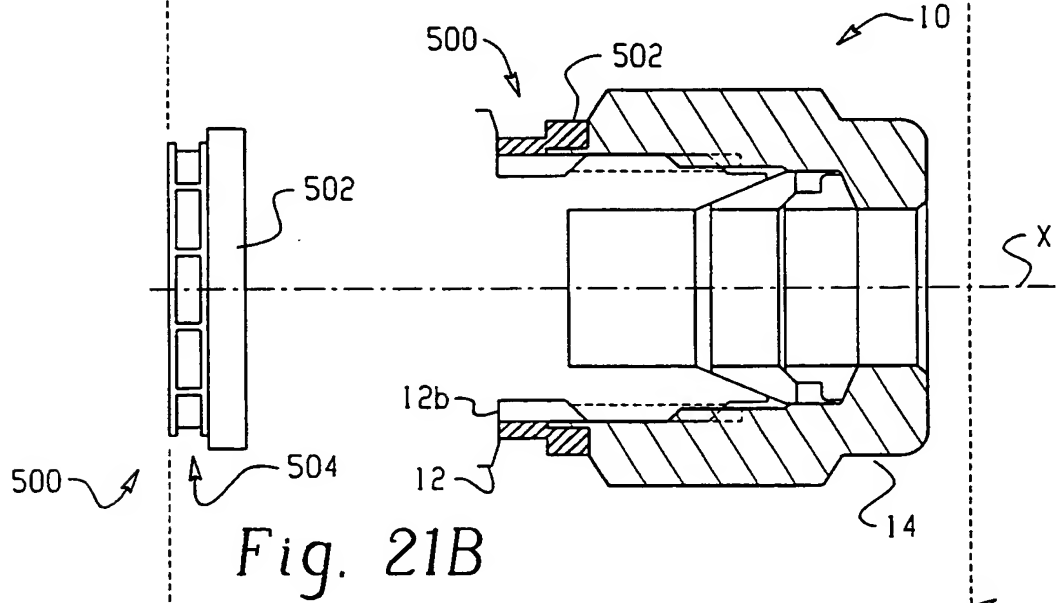
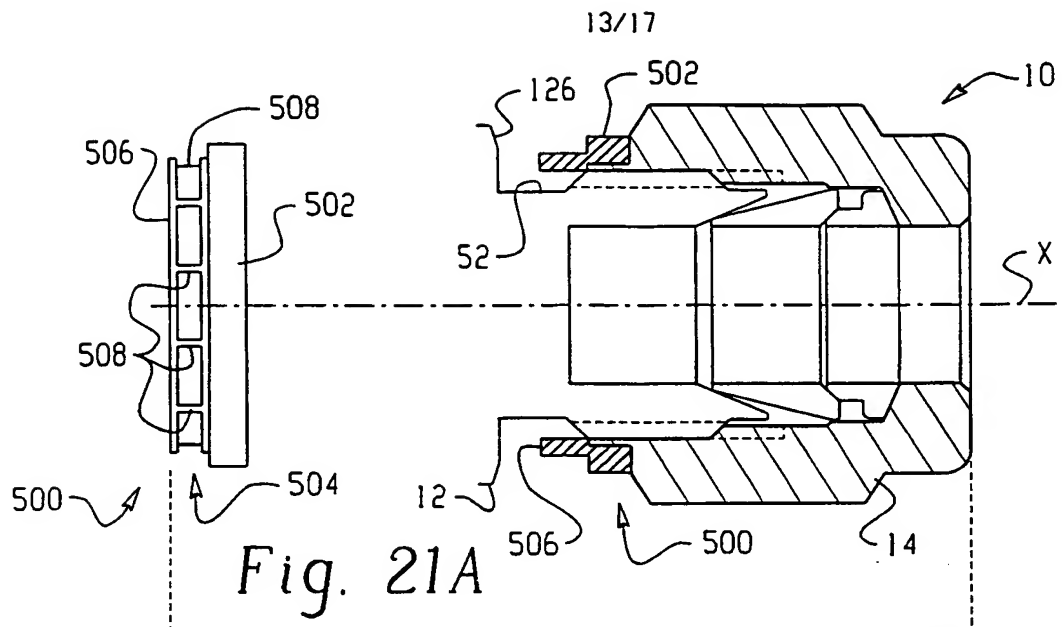


Fig. 18

*Fig. 19**Fig. 19A*

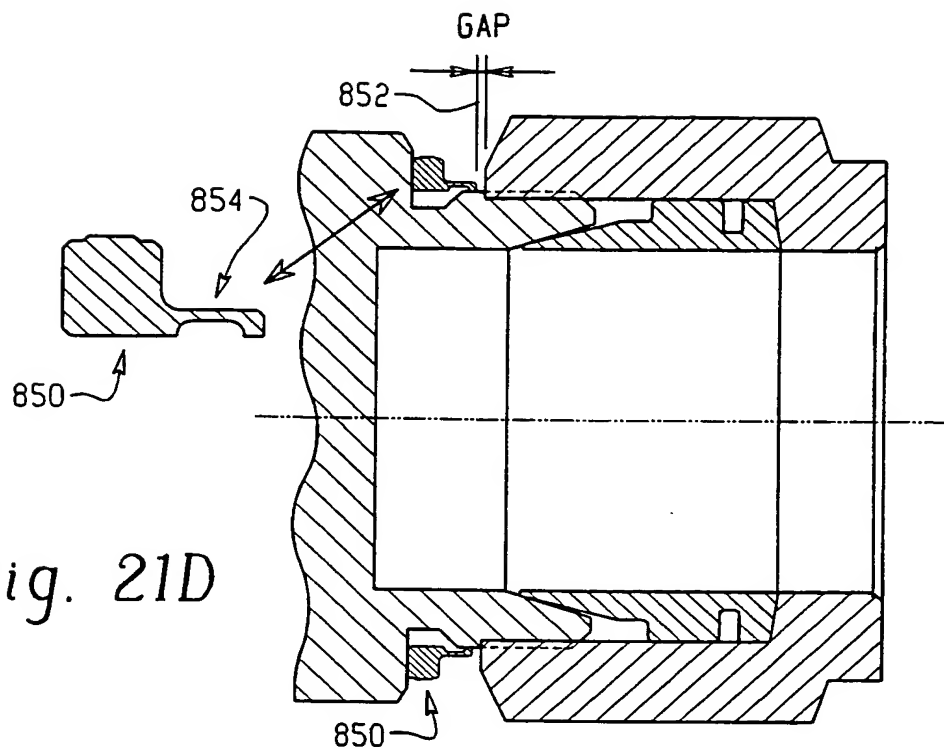
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*Fig. 19B**Fig. 20*

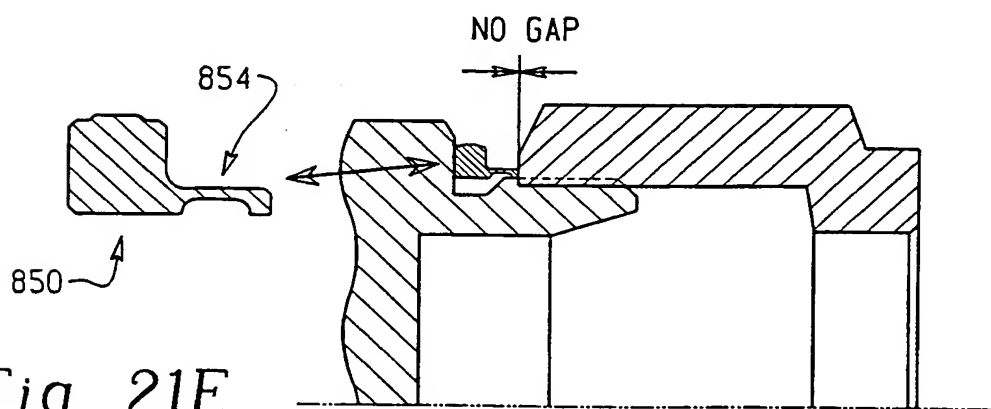




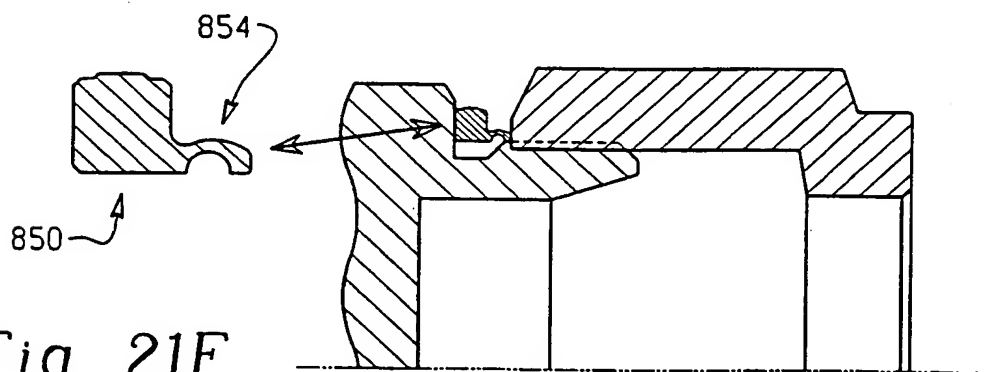
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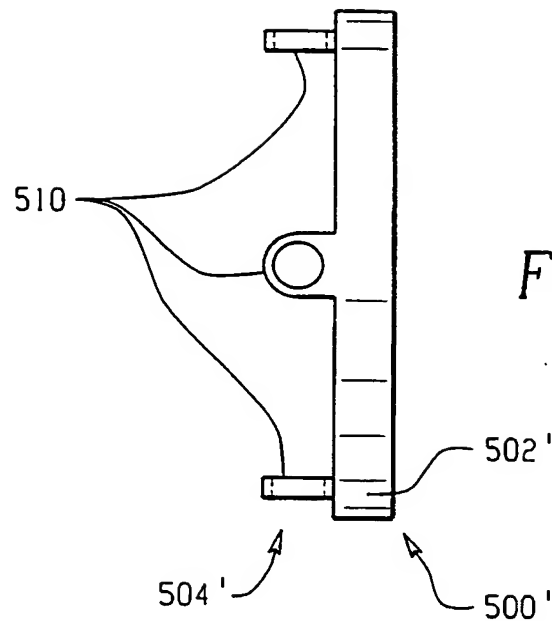
*Fig. 21D*



*Fig. 21E*



*Fig. 21F*



*Fig. 22*

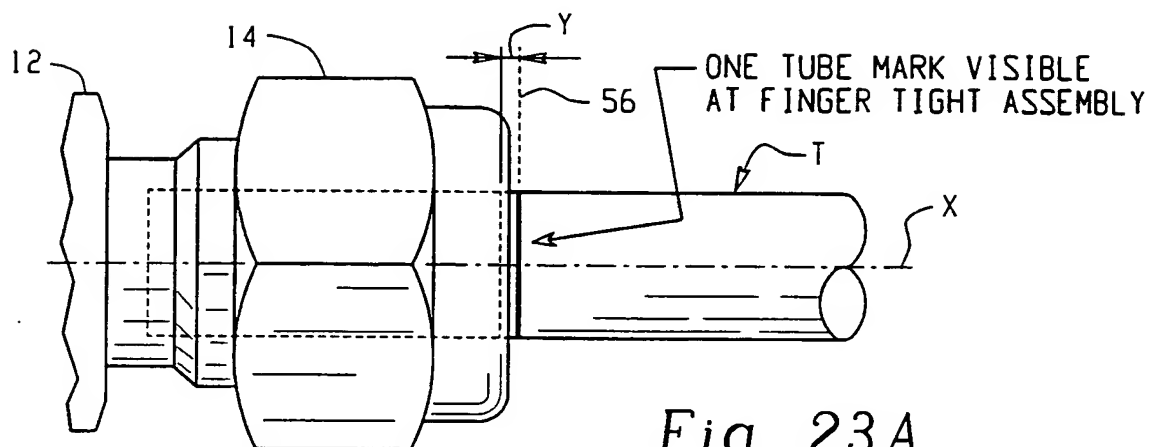


Fig. 23A

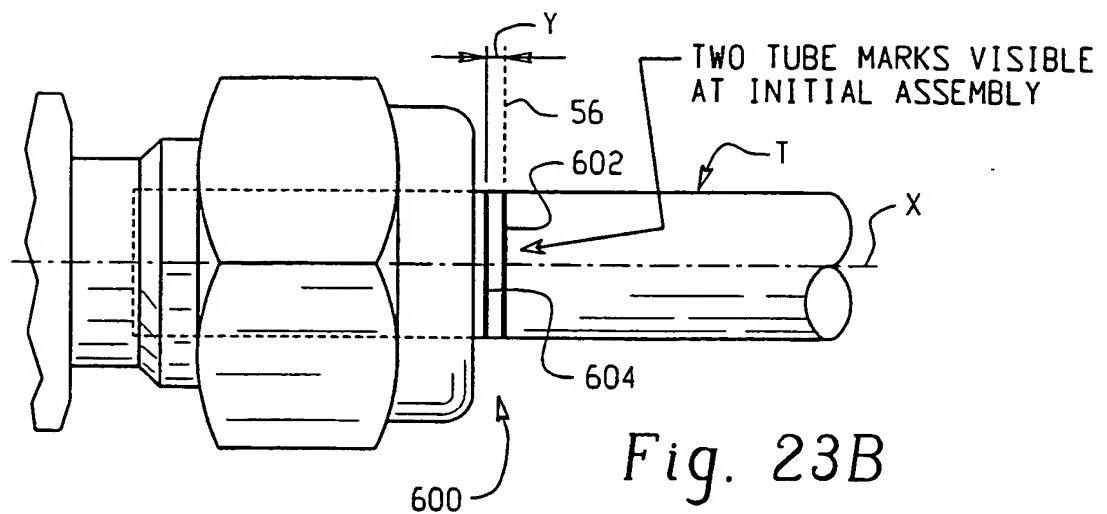


Fig. 23B

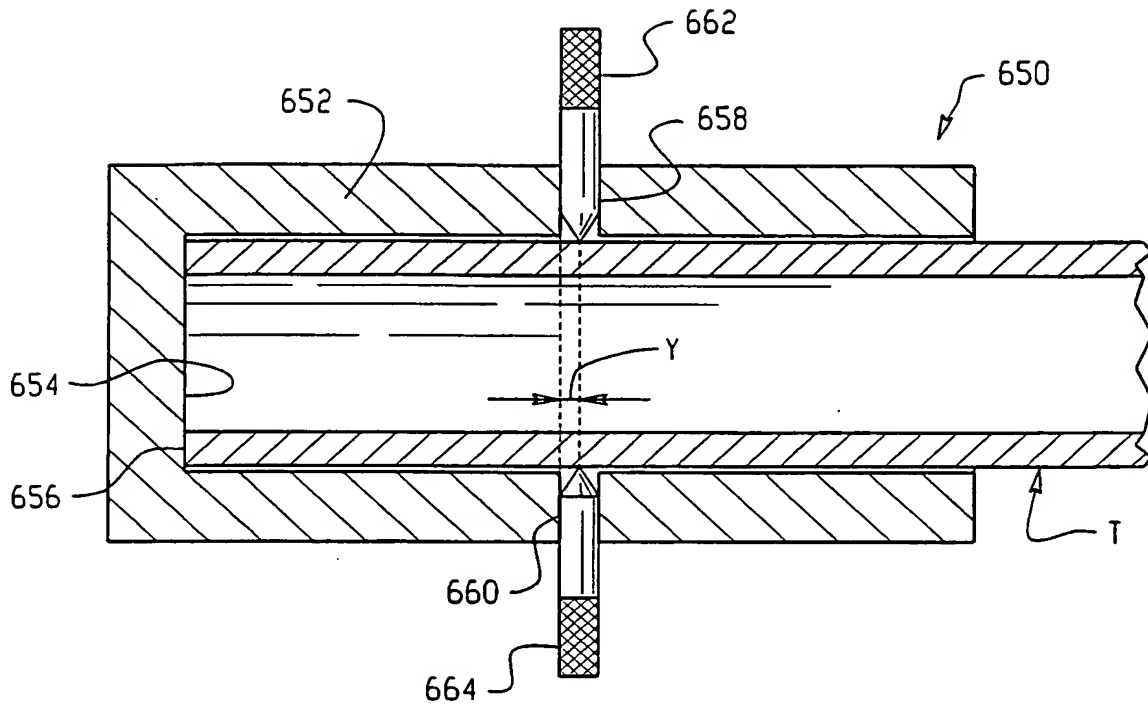


Fig. 24

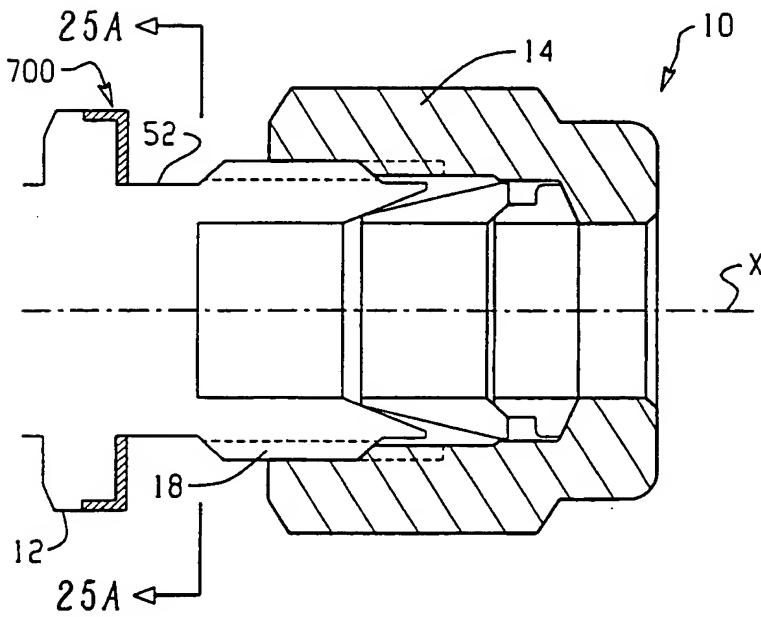


Fig. 25

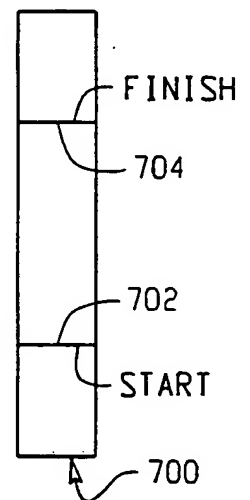


Fig. 25A

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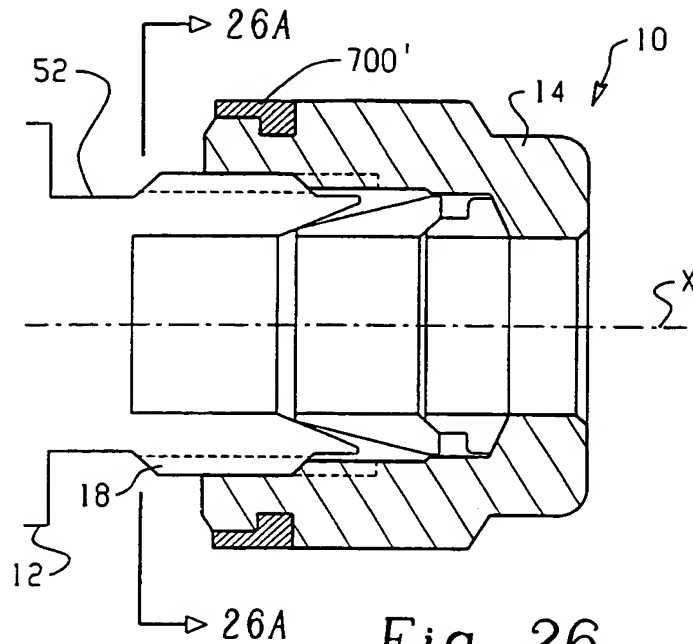


Fig. 26

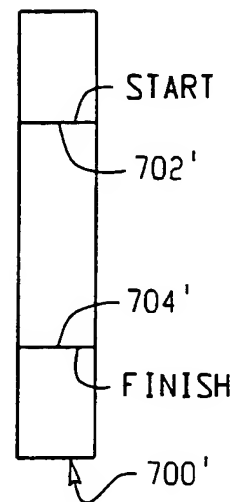


Fig. 26A

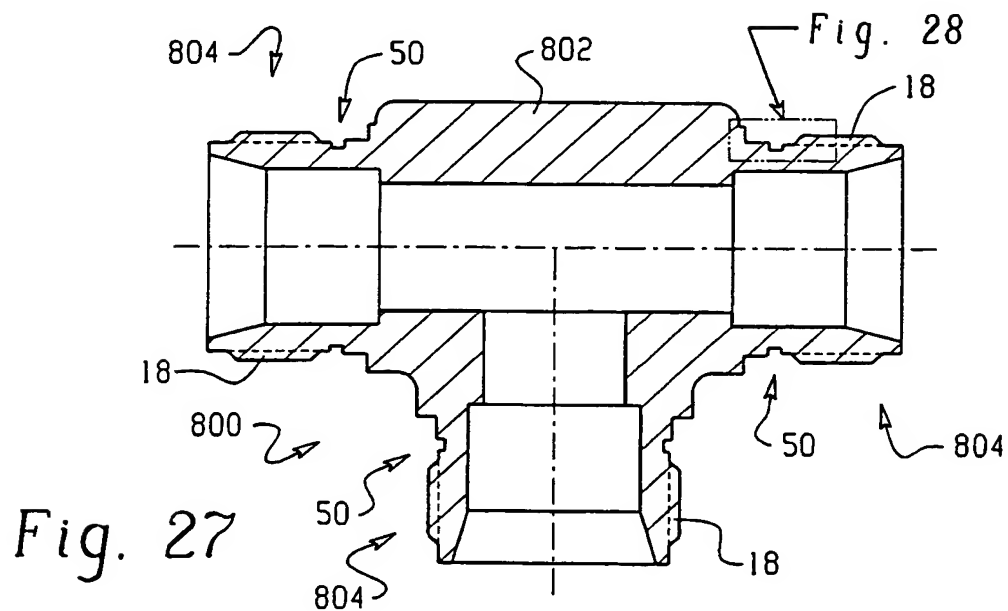


Fig. 27

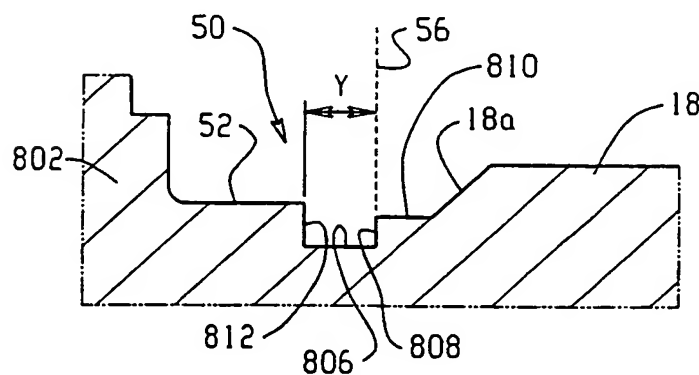


Fig. 28

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/24900

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 F16L19/065 F16L19/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F16L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 388 866 A (SCHLOSSER ALVIN L) 14 February 1995 (1995-02-14)  figures  ---	1,2,5-7, 14,15, 19,20,23
X	EP 0 863 354 A (VOSS ARMATUREN) 9 September 1998 (1998-09-09) figure 1  ---	1,2,14, 15,19,23
X	US 4 162 802 A (COX ROBERT G) 31 July 1979 (1979-07-31) figures  ---	1,14
X	GB 2 049 853 A (NEIL HOLDINGS LTD JAMES) 31 December 1980 (1980-12-31) figures  ---	1,14
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☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

16 January 2001

Date of mailing of the international search report

24/01/2001

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## INTERNATIONAL SEARCH REPORT

Int. J. Application No

PCT/US 00/24900

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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A	US 2 029 798 A (SCHELLIN) 4 February 1936 (1936-02-04) claim; figure ----	29
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